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MEETING OF THE

EXECUTIVE/ADMINISTRATION COMMITTEE

Thursday, November 6, 2014
9:00 a.m. – 10:00 a.m.

SCAG Main Office
818 W. 7th Street, 12th Floor
Board Room
Los Angeles, CA 90017
(213) 236-1800

If members of the public wish to review the attachments or have any questions on any of the agenda items, please contact Lillian Harris-Neal at (213) 236-1858 or via email at harris-neal@scag.ca.gov.

Agendas & Minutes for the Executive/Administration Committee are also available at: <http://www.scag.ca.gov/committees/Pages/default.aspx>

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Executive/Administration Committee
Members – November 2014

Members

Representing

Chair	1. Hon. Carl Morehouse	<i>San Buenaventura</i>	District 47
1st Vice-Chair	2. Hon. Cheryl Viegas-Walker	<i>El Centro</i>	District 1
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	9. Hon. Barbara Messina	<i>Alhambra</i>	District 34
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	11. Hon. Larry McCallon	<i>Highland</i>	District 7
	12. Hon. Andrew Masiel, Sr.	<i>Pechanga Band of Luiseño Indians</i>	Tribal Government Representative
	13. Mr. Randall Lewis	<i>Lewis Group of Companies</i>	Ex-Officio
	14. Hon. Glen Becerra	<i>Simi Valley</i>	District 46
	15. Hon. Tom LaBonge	<i>Los Angeles</i>	District 51
	16. Hon. Carmen Ramirez	<i>Oxnard</i>	District 45
	17. Hon. Julio Rodriguez	<i>Perris</i>	District 69

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EXECUTIVE/ADMINISTRATION COMMITTEE

AGENDA

NOVEMBER 6, 2014

The Executive/Administration Committee may consider and act upon any of the items listed on the agenda regardless of whether they are listed as information or action items.

CALL TO ORDER & PLEDGE OF ALLEGIANCE

(Hon. Carl Morehouse, Chair)

PUBLIC COMMENT PERIOD – Members of the public desiring to speak on items on the agenda, or items not on the agenda, but within the purview of the Committee, must fill out and present a Public Comment Card to the Assistant prior to speaking. Comments will be limited to three (3) minutes per speaker provided that the Chair has the discretion to reduce this time limit based upon the number of speakers. The Chair may limit the total time for all public comments to twenty (20) minutes.

REVIEW AND PRIORITIZE AGENDA ITEMS

EXECUTIVE DIRECTOR'S REPORT

(Hasan Ikhata, Executive Director)

- 5th Annual Economic Summit – December 4, 2014
- Cap-and-Trade Funding Update

PRESIDENT'S REPORT

ACTION/DISCUSSION ITEMS

Page No.

1. 2014 Investment Policy
(Basil Panas, Chief Financial Officer)

Attachment 1

Recommended Action: Recommend that the Regional Council approve the 2014 Statement of Investment Policy, as amended.

2. Final Report related to the California Strategic Growth Council's 2011 Sustainable Communities Planning Grant and Incentive Program
(Basil Panas, Chief Financial Officer)

Attachment 9

Recommended Action: Recommend that the Regional Council adopt Resolution No.14-564-2, certifying SCAG's Final Report related to the California Strategic Growth Council's 2011 Sustainable Communities Planning Grant and Incentive Program.

3. Minutes of the October 2, 2014 Meeting

Attachment 22

EXECUTIVE/ADMINISTRATION COMMITTEE

AGENDA

NOVEMBER 6, 2014

CONSENT CALENDAR

Page No.

Approval Items

- | | | |
|---|------------|----|
| 4. <u>Contracts \$200,000 or Greater: Contract No. 15-004-C1, Program Environmental Impact Report (PEIR) for the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS)</u> | Attachment | 27 |
| 5. <u>Contracts \$200,000 or Greater: Contract No. 15-005-C1, Scenario Planning Services</u> | Attachment | 38 |
| 6. <u>Contracts \$200,000 or Greater: Contract Nos. 15-009-C1 through 15-009-C10, On-Call Modeling and Technical Support Services</u> | Attachment | 48 |
| 7. <u>SCAG Sponsorships and Memberships</u> | Attachment | 97 |

Receive & File

- | | | |
|---|------------|-----|
| 8. <u>SCAG Sustainability Planning Grants Program – Monthly Update</u> | Attachment | 100 |
| 9. <u>FY 2015-16 Comprehensive Budget Development Schedule</u> | Attachment | 107 |
| 10. <u>Purchase Orders \$5,000 but less than \$200,000; Contracts \$25,000 but less than \$200,000; and Amendments \$5,000 but less than \$75,000</u> | Attachment | 109 |
| 11. <u>November 2014 State and Federal Legislative Update</u> | Attachment | 111 |

CFO MONTHLY FINANCIAL REPORT

(Basil Panas, Chief Financial Officer)

Attachment 124

FUTURE AGENDA ITEM(S)

CLOSED SESSION

CONFERENCE WITH LEGAL COUNSEL – ANTICIPATED LITIGATION

Consideration and possible recommendation regarding the initiation of litigation pursuant to Government Code Section 54956.9 (c) – One potential case

ANNOUNCEMENTS

ADJOURNMENT

In lieu of a regular Executive/Administration Committee (EAC) meeting, the 5th Annual Economic Summit will be held on Thursday, December 4, 2014, from 9AM to 2PM, at the Westin Bonaventure Hotel, Downtown Los Angeles.

There will be no EAC meeting in January 2015 (dark).

The next regular meeting of the EAC is scheduled for Thursday, February 5, 2015, at the SCAG Los Angeles Office.

DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer, 213-236-1817, panas@scag.ca.gov

SUBJECT: 2014 Investment Policy

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:

Recommend that the Regional Council approve the 2014 Statement of Investment Policy, as amended.

EXECUTIVE SUMMARY:

SCAG's Statement of Investment Policy is included as Article X of the Regional Council Policy Manual. The policy is currently subject to annual review and re-approval by the Regional Council. Staff recommends re-approval of the policy with amendments.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan, Goal 3 - Enhance the Agency's Long Term Financial Stability and Fiscal Management.

BACKGROUND:

The Statement of Investment Policy (Section 17: Modification and Legislative Changes) states that the Executive/Administration Committee shall be responsible for modifying investment guidelines as conditions warrant and submit the modified guidelines for re-approval by the Regional Council on an annual basis.

The proposed amendments to the Investment Policy are underlined in the attached: The priorities of SCAG's investment objectives are clarified on page 1, second paragraph.

FISCAL IMPACT:

None

ATTACHMENT:

Statement of Investment Policy 2014

SCAG STATEMENT OF INVESTMENT POLICY

Section 1: Purpose

This SCAG Statement of Investment Policy is intended to provide standards and guidelines for the prudent investment of funds by SCAG in conducting its investment and cash management responsibilities. The goal is to strengthen the overall financial condition of SCAG, while earning a return on our investments with safety and liquidity.

Section 2: Objective

The Policy is designed to achieve and maintain adequate working capital to support our Planning and Support Operations, and to grow our available resources and funds to the fullest extent possible. SCAG attempts to obtain a market rate of interest without assuming undue risk to principal. The objectives of such investments, in descending order of importance, are: 1) the long term preservation of capital, 2) adequate cash resources to meet our short term financial needs for liquidity; and 3) to earn a competitive rate of return on capital.

Section 3: Scope

This investment policy applies to activities of SCAG with regard to investing the financial assets of all funds, including the following: General Fund, Special Revenue Funds, and Trust Funds, and any other Funds that may be created from time to time.

Section 4: Investment Responsibility

SCAG's Executive Director, in his capacity as Secretary-Treasurer, may delegate responsibility for investments to the Chief Financial Officer and/or the Manager of Accounting. This includes the authority to select investments, engage professional services, to open accounts with banks, brokers and dealers, to establish safekeeping accounts or other arrangements for the custody of securities, and report to oversight bodies. Those persons authorized to execute transactions include: 1) Chief Financial Officer or his/her director designee, 2) Manager of Accounting or his/her staff designee, and 3) those specifically approved and added by the Executive/Administration Committee (EAC) of the Regional Council (RC). Brokers and dealers are to be provided with a list of specified names of those persons authorized to execute transactions.

Section 5: Internal Controls

The Chief Financial Officer and the Manager of Accounting shall establish the investment function so that specific responsibility for the performance of duties is assigned with a clear line of authority, accountability and reporting. The functions of authorizing, executing and recording transactions, custody of investments and performing

reconciliations are to be handled by separate persons to reduce the risk that a person is in a position to conceal errors or fraud in the normal course of duty.

While no internal control system, however elaborate, can guarantee absolute assurance that assets are safeguarded, it is the intent of the internal control system to provide reasonable assurance that management of the investment function meets our objectives. These internal controls shall be reviewed annually by the independent auditor.

Section 6: Reporting

The EAC shall be responsible for reporting the status of investments to the RC on a monthly basis. Reports are to be submitted by the Chief Financial Officer to the EAC and/or the Investment Subcommittee following the end of each reporting period. These reports shall show the type of investment, institution, interest rate, date of maturity, compliance to the investment policy, a verification of adequacy of working capital to meet our operating needs and market value for all investments.

Section 7: Prudence

The standard of prudence to be used by investment officials shall be the “prudent person” rule and shall be applied in the context of managing all aspects of the overall portfolio. Investments shall be made with care, skill, prudence, and diligence under the circumstances then prevailing, that a prudent person acting in a like capacity and familiarity with those matters would use in the conduct of funds of a like character and with like aims, to safeguard the principal and maintain the liquidity needs.

Section 8: Authorized Investments

(A) Surplus Funds

Funds may be invested in any instrument allowable by the State of California Government Code Section 53600 et seq. so long as the investment is appropriate when SCAG’s investment objectives and policies are taken into consideration. Within the context of the limitations, the following are authorized:

- US Treasury Obligations (Bills, Notes and Bonds)
- US Government Agency Securities and Instrumentality’s of Government Sponsored Corporations
- Banker’s Acceptances
- Commercial Paper
- Repurchase Agreements
- Certificates of Deposit
- Negotiable Certificates of Deposit
- Passbook Savings Accounts
- Interest Bearing Checking Accounts
- Intermediate Term Corporate Notes

- Bank Money Market Accounts
- Local Agency Investment Fund (State Pool)
- Los Angeles County Investment Fund (County Pool)
- Shares of Beneficial Interest issued by a Joint Powers Authority organized pursuant to California Government Code Section 6509.7 that invests in the securities and obligations authorized in Section 53601 (a) through (n).
- Other investments that are, or may become, legal investments through the State of California Government Code.

B. Other Post-Employment Benefits Funding

All funding approved for this purpose shall be invested in the irrevocable trust for post-employment benefits administered by the California Public Employees Retirement System (CalPERS), also known as the California Employer's Retiree Benefit Trust (CERBT).

C. Supplemental Defined Benefit Pension Plan Funding

All funding approved for this purpose shall be invested in an annuity selected according to criteria prescribed by SCAG procurement policies and SCAG's financial and operational needs, or funded on a pay-as-you-go basis.

Section 9: Prohibited Investments

SCAG shall not invest any funds in inverse floaters, range notes, or interest-only strips that are derived from a pool of mortgages. SCAG shall not invest any funds in any security that could result in a zero interest accrual if held to maturity.

Section 10: Investment Criteria

Criteria for selecting investments and order of priority are:

A. SAFETY

The safety and risk associated with an investment refers to the potential loss of principal, interest or a combination of these amounts. SCAG shall only invest in those financial instruments whose safety and quality comply with State law and SCAG's risk tolerance.

B. LIQUIDITY

This refers to the ability to convert an investment into cash at any moment in time with a minimal chance of losing some portion of principal or interest. Since liquidity is an important investment quality, especially when the need for immediate access to funds may occur unexpectedly, potential fluctuations in market value are to be an important consideration when selecting an investment. SCAG's portfolio shall provide for adequate liquidity as indicated by SCAG's cash projections.

C. YIELD

Yield is the potential dollar earnings an investment can provide and sometimes is described as the rate of return. SCAG shall attempt to maximize return consistent with criteria A and B above.

Section 11: Diversification

SCAG will diversify use of investment instruments to avoid incurring unreasonable risks inherent in over investing in specific instruments, individual financial institutions or maturities. Diversification strategies shall be established within the guidelines of Government Code Section 53600 et seq., and periodically reviewed.

Section 12: Investment Pools

SCAG has determined that use of investment pools is a practical investment option. SCAG will utilize guidelines established by the California Municipal Treasurers Association and California Society of Municipal Finance Officers to ensure the safety of investment pools.

Section 13: Maturity Limitations

Every investment instrument purchased must have a term remaining to maturity of five years or less, unless RC approval was obtained three months in advance.

Section 14: Safeguarding of Assets and Records

Securities purchased from broker/dealers shall be held in third-party safekeeping in SCAG's name and control, whenever possible. Monthly statements received from the financial institution are reconciled to the investment reports by the Senior Accountant. Review of safety, liquidity, and yields of investment instruments; and reputation and financial condition of investment brokers is to be done by the EAC. The periodic review of the investment portfolio, including investment types, purchase price, market values, maturity dates, and investment yields as well as conformance to the stated investment policy will also be performed by the EAC.

Section 15: Qualified Institutions

If SCAG decides not to use investment pools, SCAG shall prepare and maintain a listing of financial institutions which are approved for investment purposes. In addition, a list will be maintained of approved broker/dealers selected by credit worthiness, who maintain an office in the State of California. All financial institutions and broker/dealers who desire to become bidders for investment transactions must supply the following: audited financial statements, proof of National Association of Security Dealers'

certification, trading resolution, proof of California registration, and certification of having read this Investment Policy. An annual review of the financial condition and registrations of qualified bidders will be conducted by the EAC.

Section 16: Monitoring and Adjusting the Portfolio

SCAG will monitor the contents of the portfolio, the available markets and the relative values of competing instruments and will adjust the portfolio accordingly based on our Investment Policy. Investment counselors may be engaged to assist in the performance of this work with the approval of the EAC.

Section 17: Modification and Legislative Changes

The EAC shall be responsible for modifying investment guidelines as conditions warrant and submit same for re-approval by the RC on an annual basis. This annual approval may be on the consent agenda unless there are amendments to this Policy. Any State of California legislative action, that further restricts allowable maturities, investment type or percentage allocations, will be incorporated into SCAG's Statement of Investment Policy and supersede any and all previous applicable language.

Section 18: Segregation of Responsibilities

A. FUNCTION	B. RESPONSIBILITY
Develop Statement of Investment Policy	Chief Financial Officer Manager of Accounting
Recommend modifications to Statement of Investment Policy	Chief Financial Officer Legal Counsel Manager of Accounting Investment Subcommittee
Approve Statement of Investment Policy and appointment of Oversight Committee	Executive/Administration Committee
Adopt Statement of Investment Policy	Regional Council
Select Investments	Chief Financial Officer Manager of Accounting Outside Investment Manager
Approve transactions	Chief Financial Officer or Manager of Accounting
Execute investment transactions and fax	Outside Investment Manager

completed trade information to SCAG	
Investment verification (match broker confirmation to trade information provided by outside Investment Manager to SCAG investment records)	Lead Accountant
Record investment transactions into SCAG's accounting records	Lead Accountant – General Ledger
Reconcile investment records to accounting records and bank statements	Lead Accountant – General Ledger
Security	Time Certificates of Deposit will be maintained in SCAG's safe in the care of the Chief Financial Officer. All other investment securities will be held in safekeeping in the trust department of SCAG's Depository bank, or other third party custodian as designated by the Chief Financial Officer.

Section 19: Executive/Administration Committee and Investment Subcommittee

The EAC is empowered to review and make recommendations on the Investment Policy and Investment Strategy of SCAG to strengthen the internal controls of the management of funds. The EAC may, in its discretion, establish an Investment Subcommittee to assist the EAC to achieve the goals and objectives of this Investment Policy.

19.1 Purpose of the Investment Subcommittee

- A. To review and make recommendations about this Investment Policy and Investment Strategy.
- B. To review investments on a periodic basis and to report any exceptions to this Investment Policy immediately to the RC.
- C. To be responsive to EAC requests.

19.2 Membership

The total membership shall consist of five (5) members: 1) EAC Chair and 2 Members (selected by the EAC members), 2) Chief Financial Officer, and 3) Manager of Accounting.

19.3 Functions and Duties

A. Annually

To review and approve the Statement of Investment Policy; to review the financial condition of broker/dealers and financial institutions.

B. Quarterly

To review investments made during the previous quarterly period; to provide a status report to the EAC.

C. Monthly

To develop and carry out the ongoing investment strategy in accordance with the Investment Policy; to recommend amendments to the Statement of Investment Policy.

D. The function of the EAC and the Investment Subcommittee is to provide policy guidance that gives the operating staff standards and guidelines to work within on a day-to-day basis. By this, it is meant that each individual trade need not be approved by this Committee at the time it is transacted, provided that it falls within the scope of the Statement of Investment Strategy.

DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer, panas@scag.ca.gov , 213-236-1817

SUBJECT: Final Report related to the California Strategic Growth Council's 2011 Sustainable Communities Planning Grant and Incentive Program

EXECUTIVE DIRECTOR'S APPROVAL:



RECOMMENDED ACTION FOR EAC:

Recommend that the Regional Council adopt Resolution No.14-564-2, certifying SCAG's Final Report related to the California Strategic Growth Council's 2011 Sustainable Communities Planning Grant and Incentive Program.

RECOMMENDED ACTION FOR RC:

Adopt Resolution No. 14-564-2, certifying SCAG's Final Report related to the California Strategic Growth Council's 2011 Sustainable Communities Planning Grant and Incentive Program

EXECUTIVE SUMMARY:

In 2011, SCAG was awarded a \$1 million grant ("Grant") from the California Strategic Growth Council's Sustainable Communities Planning Grant and Incentive Program which was funded by Proposition 84 (the California Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond of 2006 ("Proposition 84 Grant Program"). The Strategic Growth Council (SGC) was delegated responsibility for the administration of Proposition 84 Grant Program. Funding was directed towards programs that promote the integration of planning disciplines (such as transportation, land use and environmental planning) as well as direct collaboration with local governments in the SCAG region for the purposes of implementing SB 375.

SCAG entered into a grant agreement ("Grant Agreement") with the California Department of Conservation, Division of Land Resource Protection ("Department"), related to the subject Proposition 84 Grant Program. The Grant Agreement expired on September 30, 2014, and as part of its obligations under the Grant Agreement, SCAG is to submit a final plan report ('Final Report'). The Final Report must be reviewed and certified as accurate before its submission to the Department and Strategic Growth Council, and must include all work products generated by the grant funds.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan, Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies, Objective (a): Create and facilitate a collaborative and cooperative environment to produce forward thinking regional plans.

BACKGROUND:

In 2010, SCAG applied for funding under the Proposition 84 Grant Program administered by the SGC. SGC was authorized by the Legislature to appropriate funds for planning grants and incentives that reduce

REPORT

energy consumption, conserve water, improve air and water quality, and provide other community benefits. In 2011, SCAG was selected out of a large applicant pool and was awarded a \$1 million Grant to complete projects that aided in the implementation of SB 375.

In accordance with the work plan of the Grant Agreement, the following projects (divided into four tasks) were pursued by SCAG and supported by the Proposition 84 grant funds:

- Task 1: Preparation of a Regional Economic Development Strategy
 - This task funded the development of SCAG’s Economic Recovery & Job Creation Strategy along with the Climate and Economic Development Project
- Task 2: Development of Planning Tools and Visualization Techniques
 - The Scenario Planning Model, which will help in the generation of land use scenarios for the 2016 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS), was supported, in part, by this Grant
- Task 3: Jurisdiction and Project Level Sustainable Communities Planning
 - The planning stages and documentation for three (3) local demonstration projects that emphasize Transit Oriented Development (TOD) were completed as a result of support from the Grant
- Task 4: Outreach for Sustainable Communities Strategy (SCS) Development
 - This task involved the outreach activities associated with the development and implementation of the 2012-2035 RTP/SCS

In order to complete this round of funding and discharge its obligations under the Grant Agreement, SCAG generated a Final Report detailing the accomplishments for each task, which is included in this report as “Exhibit A” attached to Resolution No. 14-564-2. Staff recommends that the Regional Council review the Resolution and Final Report and certify its accuracy. It should be noted that because of its length, only the Final Report is attached with Resolution No. 14-564-2 and does not include all work products generated by the subject grant funds. The work products will be submitted to the Department as part of the Final Report. These work products are available for review by the Regional Council at the following ftp site: [ftp://scag-data:\\$cag424@data.scag.ca.gov/SGC/](ftp://scag-data:$cag424@data.scag.ca.gov/SGC/). If prompted for a username and password, please see the information below:

Username: scag-data

Password: \$cag424

FISCAL IMPACT:

SCAG received a total of \$1 million in SGC Sustainable Communities Planning Grant and Incentive Program funds and provided \$850,375 as an in-kind match throughout the three (3) duration of the program. For Fiscal Year 2015, the remaining balance of the grant funds, \$163,662 is included in SCAG’s Overall Work Program under Project Number 220.SCG.01865.

ATTACHMENT:

Resolution No. 14-564-2, and its accompanying “Exhibit A,” SCAG’s Final Report related to the California Strategic Growth Council’s 2011 Sustainable Communities Planning Grant and Incentive Program.

RESOLUTION NO. 14-564-2

**A RESOLUTION OF THE SOUTHERN CALIFORNIA
ASSOCIATION OF GOVERNMENTS (SCAG) CERTIFYING
SCAG'S FINAL REPORT RELATED TO THE SUSTAINABLE
COMMUNITIES PLANNING GRANT AND INCENTIVES PROGRAM
UNDER THE SAFE DRINKING WATER, WATER QUALITY
AND SUPPLY, FLOOD CONTROL, RIVER AND COASTAL
PROTECTION BOND ACT OF 2006 (PROPOSITION 84)
AWARDED TO SCAG IN 2011 TO SUPPORT VARIOUS
REGIONAL PLANNING ACTIVITIES**

WHEREAS, the Legislature and Governor of the State of California established the Sustainable Communities Planning Grant and Incentives Program funded by Proposition 84 (the California Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond of 2006), hereinafter referred to as the "Proposition 84 grant program;"

WHEREAS, the Strategic Growth Council has been delegated the responsibility for the administration of the Proposition 84 grant program;

WHEREAS, the Southern California Association of Governments ("SCAG"), which is the Metropolitan Planning Organization ("MPO"), pursuant to 23 U.S.C. 134 et seq. and 49 U.S.C. 5303 et seq. for the six counties of Los Angeles, Orange, San Bernardino, Riverside, Ventura, and Imperial, submitted an application for the Proposition 84 grant program in 2010;

WHEREAS, in 2011, SCAG was awarded a \$1 million grant from the Proposition 84 grant program and entered into to a certain grant agreement with the California Department of Conservation, Division of Land Resource Protection ("Department"), related to Grant Number 3010-541 ("Grant Agreement");

WHEREAS, the Grant Agreement expired on September 30, 2014, and under specific terms of the Grant Agreement, SCAG's grant obligations are discharged only upon acceptance of a final plan report ("Final Report") by the Department. The Final Report is required to be submitted to the Department by November 30, 2014, must attach and incorporate all work-products generated by the grant funds and must be certified by SCAG's governing board prior to submission to the Department.

NOW, THEREFORE, BE IT RESOLVED that SCAG's Regional Council hereby approves and certifies as accurate the Final Report, attached to this Resolution as "Exhibit A," related to the applicable Proposition 84 grant/Grant Number 3010-541.

BE IT FURTHER RESOLVED that the Regional Council:

1. That SCAG's Executive Director or his designee is hereby authorized by the Regional Council to submit this Resolution and the Final Report to the California Department of Conservation, Division of Land Resource Protection, along with all related documents, including but not limited, the work products generated from the subject Proposition 84 grant funds by the November 30, 2014 deadline.
2. That SCAG's Executive Director or his designee is also authorized to provide a copy of this Resolution and Final Report to appropriate representatives of the Strategic Growth Council.

PASSED, APPROVED AND ADOPTED by the Regional Council of the Southern California Association of Governments at a regular meeting this 4th day of November, 2014.

Hon. Carl E. Morehouse
President, SCAG
Councilmember, City of San Buenaventura

Attested by:

Hasan Ikhata
Executive Director

Approved as to Form:

Joann Africa
Chief Counsel

California Sustainable Communities Planning Grants and Incentives Program FINAL REPORT

2011 - 2014

Department of Conservation/Division of Land Resource Protection

Grantee: Southern California Association of Governments **Grant No.** 3010-541

Project Title: Sustainable Communities Planning Grant and Incentives Program

The Southern California Association of Governments (SCAG) is the Metropolitan Planning Organization (MPO) serving the Southern California counties of Imperial, Los Angeles, Orange, Riverside, San Bernardino, and Ventura. Together, these counties include 191 cities, cover more than 38,000 square miles, and home to over 18 million people. Under state law, SCAG is the designated Regional Transportation Planning Agency and is legally organized as a Joint Powers Authority.

SCAG has used the funds from Sustainable Communities Planning Grant and Incentives Program to address some of the challenges of adopting and implementing strategies consistent with SB 375, which requires the development of a Sustainable Communities Strategy within the context of the three E's of sustainable communities: Environment, Economy, and Equity. This strategy is designed to help attain greenhouse gas emissions reduction targets established by the California Air Resources Board (ARB) for 2020 and 2035. SCAG proposes the tasks in the project as a multi-faceted approach to addressing the challenges of implementing SB 375.

Since the beginning work on these objectives outlined in SCAG's Sustainable Communities Planning Grant and Incentives Program Work Plan, important analyses aiding decision-making have been accomplished. Four scenarios, completed under Task 1.3, envisioned the future of the SCAG region and were designed to explore the impacts of where and to what extent growth will shape the region over the next 25 years. These scenarios were precursors to the 2012 RTP/SCS alternatives and facilitated public dialogue and feedback, allowing SCAG to develop more detailed and refined Plan alternatives through public participation. Elected officials also depended upon these scenarios to imagine the future and to consider the impacts their decisions will have on the region as whole, as well as the potential consequences of adopting the 2012 RTP/SCS. An economic impact analysis and greenhouse gas emissions analysis contributed to these beginning stages in achieving project objectives for the 2012 RTP/SCS. Two demonstration projects have also been completed, achieving project objectives by implementing strategies included in the 2012 RTP/SCS in two cities within the SCAG region.

Task #1. Prepare Regional Economic Development Strategy

Task 1.1: Conduct broad based bottom-up review of the Southern California Regional Economic Development Strategy (SCREDS) recommendations through outreach to the subregional Councils of Governments (COGs), regional economic organizations, counties, labor groups, environmental organizations, and other key business stakeholders

At the May 6, 2010 SCAG General Assembly, information was provided by economic advisors about the severe economic challenges facing the cities, counties, and residents of Southern California. They noted that over 1 million jobs had been lost from peak employment. Their remarks included a dim prospect for significant regional economic growth before 2016 unless there was a concerted leadership effort to reduce impediments for creating jobs and stimulating economic investments through incentives.

At the subsequent Regional Council May 7th Board retreat, there was concurrence that SCAG should identify areas where the agency could be helpful by partnering with cities, counties, economic associations, and others to reduce impediments to regional economic growth and develop a better understanding of the common regional economic objectives established by the cities and counties in the SCAG region, as well as Kern and San Diego due to the interconnectivity of Southern California's economy. Developing a regional growth strategy was suggested to be done in the context of the continuing recession that has impacted both the nation and Southern California. Economists agree that the recovery will be slow and challenging, and that some industries have been permanently changed. Worse, other states and regions are conducting sophisticated business recruitment efforts to extract businesses out of Southern California. As a metropolitan planning organization with a focus on transportation, understanding the economic drivers and trends of Southern California are important and intrinsically linked to developing a successful Regional Transportation Plan as all of the major industries of Southern California depend on an efficient transportation system.

Developing the Southern California Economic Recovery & Job Creation Strategy (formerly known as Regional Economic Development Strategy - SCREDS) was divided into phases:

- The first phase focused on retaining economic advisors familiar with each of the counties; collecting economic data and existing economic development plans at the county and city level, preparing a proposed regional short-term action plan directed to the next State legislative session, and asking the 190 cities and counties to adopt support resolutions for "Business Friendly Principles"
- The second phase was to hold a Summit with leaders to discuss economic findings and what could be done in partnership with state leaders in the next legislative session on a bipartisan basis, work with authors to develop legislation providing job incentives, and opposing legislation that would eliminate jobs
- Concurrently to the above activities, SCAG worked with economic advisors, business leaders, and local officials to prepare a short-term and long-term regional economic recovery and job growth strategy for review at the May 5th, 2011 SCAG General Assembly
- SCAG subsequently sought input from cities, counties, business leaders, and other key stakeholders on the draft plan
- SCAG brought to the Regional Council findings and recommendations in an Action Plan which identified areas where SCAG can partner with member cities, counties, business leaders, and other key stakeholders to reduce or remove high priority economic challenges to Southern California's economic recovery

The foundation of this economic growth strategy was the work prepared by the economic advisors engaged by SCAG. The economists from throughout the region gathered economic data and provided analysis specific to each county in the SCAG region. In addition to their analysis, the economists met with over 300 key business leaders from throughout Southern California to better understand the economic realities of the region.

California Sustainable Communities Planning Grants and Incentives Program FINAL REPORT

2011 - 2014

Task 1.2: Coordinate detailed and inclusive vetting and review of potential emissions reduction strategies associated with CEDP to determine which options would lead to the best outcomes for economic growth and job creation

SCAG engaged a diverse and high-level group of stakeholders representing government entities, environmental interests, key industries, and other groups through its Climate and Economic Development Project (CEDP). The purpose of the CEDP was to identify regional and local strategies and policies to reduce greenhouse gas (GHG) emissions and yield positive economic impacts for Southern California. The final report for this project summarizes the potential microeconomic and macroeconomic impacts associated with the policies identified as priorities for analysis by the Transportation System and Investments (TSI); Transportation and Land Use (TLU); and Energy, Commerce, and Resources (ECR) Technical Work Groups (TWGs) of the CEDP.

The stakeholders identified a total of 20 TSI and TLU policies for analysis. Data were available for 18 of the 20 policies to support a microeconomic and macroeconomic analysis of the potential impacts of the policies. The microeconomic results indicate that together the 18 policies have the potential over the 2013-2035 time period to:

- Reduce GHG emissions by nearly 40 million metric tons on a carbon dioxide equivalent basis (MMtCO₂e);
- Reduce vehicle miles traveled (VMT) by about 109 billion;
- Result in a fuel savings of about 3.6 billion gallons; and
- Provide a net savings to the businesses and households in the SCAG region of approximately \$20 billion.

The macroeconomic results indicate that together the 18 TSI and TLU policies have the potential over the 2013-2035 time period to provide:

- A net gain of over 300,000 additional jobs;
- A net increase in the region's gross domestic product (GDP) of over \$22 billion;
- A net increase of region-wide output of over \$31 billion; and
- A net increase in disposable personal income of over \$14 billion in net present value (NPV).

The stakeholders identified a total of 17 ECR policies for analysis. Among the 17 recommended options, 10 were analyzed quantitatively. The microeconomic results indicate that together the 10 ECR policies have the potential, over the 2013-2035 time period, to reduce GHG emissions by nearly 853 MMtCO₂e and provide a net savings to the businesses and households in the SCAG region of approximately \$3 billion. The macroeconomic results indicate that together the 10 ECR policies have the potential over the 2013-2035 time period to provide:

- A net gain of over 61,100 jobs by 2035, or an increase of about 0.49% over the baseline level;
- An average gain of 20,781 additional jobs per year over the entire planning period;
- A net increase in disposable personal incomes of about \$10.5 billion in NPV;
- A net decrease in GDP of \$1.16 billion in 2035, or a decrease of about -0.06% over the baseline level; and
- A net decrease in GDP of \$17.8 billion in NPV over the entire planning period.

Task 1.3: Develop a plan of action, including impediments to growth, suggested projects to implement, objectives and goals set forth in the strategy and performance measures that will be used to evaluate whether and to what extent goals and objectives have been or are being met

With input from member cities and counties, public and private sector leaders, labor leaders, SCAG's team of independent economic advisors consolidated the information from individual county reports to prepare the Southern California Economic Recovery & Job Creation Strategy, which included a common set of regional priorities that help businesses, public agencies, and communities improve their economic viability with immediate and long-term recommendations

The Southern California Economic Recovery & Job Creation Strategy concentrates on expanding the region's economic base to increase the flow of funds driving the area's economy. It is based upon the region's job creation needs given its unique competitive advantages and demographics. Several sectors emerge as crucial: international trade and logistics, film and related sectors, high tech and traditional manufacturing, construction, health care, and leisure industries. The aim is to create conditions encouraging job creation in these sectors in the immediate, short and longer terms as well as preserving the businesses in the region. Ultimately, the approach is to allow each city, county and sub-regional entity the flexibility to deal with those aspects of the strategy with which they are comfortable, while providing mechanisms allowing jurisdictions to cooperate for the good of Southern California. Fundamental to the strategy is the belief that stronger economic growth will help every community.

The Southern California Economic Recovery and Job Growth Strategy was not intended to duplicate, compete, or negatively impact local and county economic objectives, but rather to determine a common set of priorities for the region that helps businesses, public agencies, and communities improve their economic viability.

Objectives

- Identify Southern California advantages for attracting economic development
- Preserve current key economic industries and encourage their continued economic viability
- Determine key industry and business sectors that present expanded investment potential
- Create incentives for more and new lasting businesses that create jobs (including growing region's innovation growth potential)
- Identify strategies for encouraging economically stronger communities within the region
- Identify strategies for encouraging small businesses to flourish
- Ensure incentives for skilled employees that can support businesses such as ensuring availability of education/training along with dissemination of such resources
- Identify strategies to connect people to good jobs

Task #2. Planning Tools and Visualization Techniques

Task 2.1: Development of a Scenario Planning Model (SPM)

SCAG coordinated with the Sacramento Area Council of Governments (SACOG) and San Diego Association of Governments (SANDAG) to customize the “UrbanFootprint” modeling platform that would meet SCAG’s functional needs with advanced analytical capabilities for compliance with SB375.

In consultation with a Scenario Planning Model working group comprising of representatives from all counties and suregions in the SCAG region, the UrbanFootprint platform was scaled to provide more jurisdictions with access to local/regional data review functions. This included the following:

- setup of local work flows for base and future year data review/commenting processes
- compilation and integration of full local/regional datasets into the UrbanFootprint system
- customizations and advancements to UrbanFootprint’s web-based graphical user interface (GUI), focusing on usability, stability, cross-platform flexibility, and performance
- setup of multi-user login and role-based permissions system

A series of pilot tests were conducted with the SPM working group to test how local and regional data could be served to local jurisdictions via the model’s web-based user interface, and to test initial functions primarily on data review and editing. Refinements were made based on pilot test comments.

On the setup of regional planning development and analysis functionality for use by SCAG staff in the development of the Draft 2016-2040 RTP/SCS, significant code updates and features developed under separate contract with SACOG and SANDAG and synched with SCAG regional data. In addition, the following tasks were performed focusing on advancing and testing regional scenario development:

- review and refine base canvas datasets for scenario development
- integration of basic analytical engines into the user interface
- enhancement of user interface for visualization of scenarios and analysis results

Task 2.2: Compile and update planning database and load to the modeling system

Building upon an initial data review and commenting capabilities, full regional datasets were compiled and normalized for integration into the Scenario Planning Model system. The datasets include:

- Local base year and General Plan land use at the parcel level
- Administrative district such as County, Subregion, Sphere of Influence, and City boundary
- Planning and analysis units such as Tier 2 Transportation Analysis Zone (TAZ) and Scenario Planning Zone (SPZs)
- Resource areas and farmland including endangered species and plants, flood areas, natural habitats, and open space/parks
- High quality transit areas and transit priority areas

The datasets were displayed to local jurisdictions in a consistent manner across the region.

Task 2.3: Provide oversight and direction for tool development and coordinate dissemination and tool usage with members

Throughout the process of model development, SCAG worked closely with the Scenario Planning Model working group to understand current/established base year and scenario development workflow. A bi-monthly SPM working group meeting was used as a venue to share the progress and status of SPM development, including the demonstration and training of model functions and operations.

Three rounds of pilot testing were conducted as part of staged roll-out of SCAG SPM, starting with the SPM working group members. During the periods of pilot testing, issue tracking system with technical supports were offered.

Task #3. Jurisdiction and Project Level Sustainable Communities Planning

Task 3.1: Development of Two Demonstration Projects

Project #1 Oxnard Downtown East TOD Feasibility Study: This project laid the foundation to transform the area south of the Oxnard Transit Center into a Transit Oriented Development community through private market initiative with support from the City of Oxnard.

Goals

- Develop benchmark data for feasibility analysis
- Reduce GHG emissions, and local pollution from freight traffic
- Economic development of local agriculture industry
- Public/Private funding opportunities
- Recommendations for future study, and policy direction
- Support voter-adopted urban growth boundary

This study, in the Downtown East Transit Oriented Development (DETOD) area, examined the feasibility of redeveloping 150 acres of residential, commercial, and agricultural processing land immediately south of the Oxnard Transit Center. The City's Draft 2030 General Plan and voter-approved City Urban Restriction Boundary (CURB) demonstrated a commitment by residents of Oxnard to land use policies that preserve agricultural land. The Downtown East TOD study will allow the city to accommodate projected growth and affordable housing needs closer to the city center.

Results

- Devised a revitalization program that stimulates redevelopment of under-utilized sites, transitions marginal land uses, adds infill multifamily housing, mixed-use residential over retail, spot infill retail, and expanded industrial uses within the current auto repair district.
- Public infrastructure required to serve the renewal area is estimated to cost between \$2 million and \$3.9 million, depending on the land assembly scenario.
- Estimated property and sales tax benefits from the proposed program are significant and may be used to support development or offset infrastructure capital costs.

California Sustainable Communities Planning Grants and Incentives Program FINAL REPORT

2011 - 2014

Project #2 Parking Supply and Utilization Case Studies in LA City's Transit-Oriented Districts

This Sustainability Planning Grant created an inventory of public and private parking spaces within transit-oriented districts in the City of Los Angeles and analyzes their utilization.

Goals

- Survey of best practices
- Inventory of existing standards and supply
- Analysis of parking demand generation
- Recommendations for future study, and policy direction

The City's 1993 Land Use and Transportation Policy (LUTP) called for the adoption of parking requirements appropriate to transit-oriented districts including the establishment of minimum and maximum on-site parking ratios for new development adjacent to the transit stations. The LUTP calls for a concentration of mixed commercial/residential uses, neighborhood-oriented retail, employment opportunities, and civic and quasi-public uses around transit stations. However, despite the innovative policies embraced by the LUTP, parking standards in the areas adjacent to the city's high-density stations remain the same today as those of low-density neighborhoods. The study evaluated the existing parking capacity and demand in public and private developments within an eighth of a mile around the chosen stations. The results of this evaluation will guide the City in choosing a policy course for parking requirements in developments at and near the city's 74 existing and 15 future transit stations.

Project #3 San Bernardino County Metrolink Corridor Demonstration Project

The San Bernardino County Metrolink Corridor Demonstration Project leveraged local planning funding with regional best practices developed in other sites. Corridor level TOD analysis has been used on the LA METRO Orange Line Bus Rapid Transit, the Expo Line Light Rail Transit, the Gold Line Light Rail Transit extension, and the Orange County Metrolink Corridor. In the San Bernardino Corridor Study Area, the project analyzed different station TOD opportunities based on more nuanced analysis and recent data gathered in the SCAG region. The study focused on first-last-mile transit, walk, bike and commute sheds.

The existing conditions report of the San Bernardino Line corridor and its six station areas was completed. During the second week of September, an Urban Land Institute Panel was convened to study the corridor and its station areas, stakeholders were interviewed, and a presentation made with recommendations to conclude the panel. In addition, a draft market and economic analysis was completed for the corridor.

Task 3.2: Implementation of Model Ordinances

This Sustainability Planning Project (Tasks 3.2 and 3.4) began as a study to provide the City of Los Angeles Department of Transportation (LADOT) with testing methodology for the multi-modal level-of-service analysis systems and software available at the time (2010). As noted below, the analysis was leading in the direction of a new structure for holistic transportation impact review instead of simply tinkering with the existing methodology. The additional Strategic Growth Council funding provided in

2011 also provided for a “Checklist for Developers.” The portion of the task dealt with refining recommendations to the City of Los Angeles, and creating a template that other cities could use to implement new multi-modal transportation impacts. The working group included senior members of the private sector transportation planning and engineering firms. These stakeholders were able to provide the developers’ perspectives. The work products described the steps a developer would follow to analyze transportation impacts in various urban contexts. The report begins to sketch out the inputs and technical analysis required for various stages of the development process. Municipalities around the state will be struggling with these implementation questions throughout the state. The effort provided a solid foundation for that process in the SCAG region.

Task 3.3: TPP/SCP Guidance

Four scenarios, completed under Task 3.3, envisioned the future of the SCAG region and were designed to explore the impacts of where and to what extent growth will shape the region over the next 25 years. These scenarios were precursors to the 2012 RTP/SCS alternatives and facilitated public dialogue and feedback, allowing SCAG to develop more detailed and refined Plan alternatives through public participation. Elected officials also depended upon these scenarios to imagine the future and to consider the impacts their decisions will have on the region as whole, as well as the potential consequences of adopting the 2012 RTP/SCS.

Task 3.4: Preparation of Developer Checklist

Work associated with this task is addressed in the description for Task 3.2.

Task #4. Outreach for Sustainable Communities Strategy (SCS) Development

Task 4.1: Stakeholder Identification and Meetings

The awareness and involvement of interested parties in governmental processes are critical to a successful regional transportation planning and programming. When the public is engaged, this feedback helps projects and plans address community needs. Likewise, the public gains a better understanding of the constraints associated with transportation planning. To ensure compliance with federal and state requirements, the Southern California Association of Governments (SCAG) implemented a public involvement process to provide information, timely public notice and full public access to key decisions and to support early and continuing public involvement in developing the 2012–2035 Regional Transportation Plan and Sustainable Communities Strategy (RTP/SCS).

SCAG staff consulted a wide range of interested parties to fulfill federal and state requirements in developing public participation strategies, procedures and techniques for the 2012-2035 RTP/SCS. SCAG made significant efforts to reach out, encourage feedback, and involve interested parties in the development of the Plan’s strategies and procedures, and will continue these efforts in future updates to the Plan. Moreover, SCAG solicited comments and feedback from the county transportation commissions, the subregions, transit operators, federal and state resource agencies, Tribal Governments, representatives of the disabled, the business community, pedestrian walkways and bicycle transportation facilities, environmental groups, and other parties through mass mailings, email correspondence, workshops, presentations, meetings, telephone communications and website postings while encouraging individuals to get involved with developing the Public Participation Plan.

Task 4.2: Evaluate outcomes from Tasks #1, #2, and #3 in the context of outreach activities

Tasks #1, #2, #3 informed the development of the 2012-2035 RTP/SCS and will be instrumental in the creation of the 2016 Plan. Contributions include determining potential economic impact of various sustainability measures, defining planned land use and transportation scenarios moving into the future, and aiding in the performance assessment of SCAG's current RTP/SCS. One commonality amongst Tasks #1, #2, and #3 is the practice of outreach with regional stakeholders early in the process to assist the development of SCAG's SGC funded projects. As a Metropolitan Planning Organization (MPO), SCAG strives to implement a bottom-up approach in the formation of long range plans, and recognize that a "local control – regional collaboration" approach is instrumental for the region to achieve the goals outlined in SB 375.

Deliverables

Work products associated with these efforts are available for download on SCAG's FTP site at:

<ftp://data.scag.ca.gov/SGC/>

Username: scag-data

Password: \$cag424

Disclaimer

The work upon which this publication is based was funded in whole or in part through a grant awarded by the Strategic Growth Council. The statements and conclusion of this report are those of SCAG and/or subcontractor and not necessarily those of the Strategic Growth Council or of the Department of Conservation, or its employees. The Strategic Growth Council and the Department make no warranties, express or implied, and assume no liability for the information contained in the succeeding text.

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SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS
EXECUTIVE/ADMINISTRATION COMMITTEE (EAC)
OCTOBER 2, 2014

MINUTES

THE FOLLOWING MINUTES ARE A SUMMARY OF ACTIONS TAKEN BY THE EXECUTIVE/ADMINISTRATION COMMITTEE. A RECORDING OF THE ACTUAL MEETING IS AVAILABLE FOR LISTENING IN SCAG'S OFFICE.

The Executive/Administration Committee (EAC) held its October 2, 2014 meeting at the SCAG Offices, in Los Angeles, California. The meeting was called to order by Hon. Carl Morehouse, President, San Buenaventura, District 47, at 9:00 a.m. There was a quorum.

Members Present

Hon. Carl Morehouse, President	District 47
Hon. Cheryl Viegas-Walker, El Centro, 1 st Vice-President	District 1
Hon. Michele Martinez, Santa Ana, 2 nd Vice-President	District 16
Hon. Greg Pettis, Cathedral City, Imm. Past President	District 2
Hon. Margaret Finlay, Duarte	District 35
Hon. Bill Jahn, Big Bear Lake	District 11
Mr. Randall Lewis, Ex-Officio	Lewis Group of Companies
Hon. Larry McCallon, Highland	District 7
Hon. Julio Rodriguez, Perris	District 69
Hon. Alan Wapner, Ontario	SANBAG

Members Not Present

Hon. Glen Becerra, Simi Valley,	District 46
Hon. Tom LaBonge, Los Angeles	District 51
Hon. Andrew Masiel, Sr.	Pechanga Band of Luiseno Indians
Hon. Barbara Messina, Alhambra	District 34
Hon. Pam O'Connor, Santa Monica	District 41
Hon. Carmen Ramirez, Oxnard	District 45
Hon. Deborah Robertson, Rialto	District 8

CALL TO ORDER & PLEDGE OF ALLEGIANCE

Hon. Carl Morehouse, President, called the meeting to order at 9:00 a.m., and asked all to join him in the Pledge of Allegiance.

PUBLIC COMMENT PERIOD

There were no public comments.

REVIEW AND PRIORITIZE AGENDA ITEMS

There was no reprioritization of the agenda.

EXECUTIVE DIRECTOR'S REPORT

Hasan Ikhata, Executive Director, provided an update on the state's Cap-and-Trade Funding program. He reported that there is approximately \$832 million dollars available to help local jurisdictions and SCAG's partners implement projects that reduce greenhouse gases, which include projects for the 2012-2035 Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS) program.

Mr. Ikhata noted that SCAG staff will closely monitor the development of these programs, including the Affordable Housing and Sustainable Communities (AHSC) program. SCAG and the Strategic Growth Council will be hosting a workshop for public input on the draft funding and project guidelines at SCAG's Los Angeles office on Monday, October 27, 2014 from 1:00 p.m. to 4:00 p.m.

Mr. Ikhata expressed his appreciation to SCAG staff, including Huasha Liu, Director, Land Use & Environmental Planning and Darin Chidsey, Director, Strategy, Policy, & Public Affairs, for their leadership and collaborative work with the state agencies on this important issue.

Mr. Ikhata also provided a follow-up report on the August 20, 2014 "Poverty Summit". He noted that the GLUE Council met on September 29, 2014 with several business partners and non-profit organizations to discuss strategies towards developing solutions and a regional poverty action plan; which will be presented at the 5th annual Economic Summit on December 5, 2014 at the Bonaventure Hotel, Downtown Los Angeles.

Additional highlights can be found in the Executive Director's Report previously distributed.

PRESIDENT'S REPORT

Hon. Carl Morehouse, Chair, announced upcoming meetings and activities. He reported that a Joint meeting of SCAG and SANDAG is currently scheduled for November 13, 2014. Staff will update the Committee on the location and additional information soon.

Hon. Morehouse reported that the Bylaws and Resolution Committee will be working on updating the Regional Council (RC) Policy Manual.

Hon. Morehouse requested Committee volunteers for the General Assembly Host Committee. Next year's 50th Anniversary-Regional Conference and General Assembly will take place on May 7-8, 2015 at the J.W. Marriot, Palm Desert, CA. More details to follow.

ACTION/DISCUSSION ITEMS

1. Proposed 2015 Regional Council and Policy Committees Meeting Schedule

Hasan Ikhata, Executive Director, asked the EAC to approve the Proposed 2015 RC and Policy Committees Meeting Schedule. Staff recommendations are as follows:

- Due to the 2015 New Year holiday falling on the first Thursday in January, recommending that there be no meeting in January ("go dark");
- To have no meeting in August ; and
- To maintain meeting on October 1, 2015 recognizing that the League of Cities annual conference is scheduled for this time.

After discussion among the Committee members, especially with respect to maintaining the October 1st meeting, Hon. Morehouse, Chair, requested the Chairs of the Policy Committees to informally poll their members to determine the impact that the 2015 proposed calendar schedule. He noted that the Regional Council will discuss and recommend final action later today.

A MOTION was made (Pettis) and SECONDED (Morehouse) to defer action of the Proposed 2015 Regional Council and Policy Committees Meeting Schedule to the Regional Council meeting later today for consideration. The motion was passed by the following vote:

AYES: Morehouse, Finlay, Jahn, McCallon, Martinez, Pettis
Rodriguez, Viegas-Walker, and Wapner
NOES: None
ABSTAIN: None

2. Amendment 2 of the Fiscal Year (FY) 2014-15 Overall Work Program (OWP)

Basil Panas, CFO, requested the EAC to recommend that the Regional Council adopt Resolution No. 14-563-1, approving Amendment 2 to the FY 2014-15 OWP and authorize the Executive Director, or his designee, to submit the necessary administrative documentation to the California Department of Transportation (Caltrans).

Mr. Panas responded to the comments and questions expressed by the Committee members.

A MOTION was made (Viegas-Walker) and SECONDED (Martinez) to approve staff's recommendation. The motion was passed by the following vote:

AYES: Morehouse, Finlay, Jahn, McCallon, Martinez, Pettis
Rodriguez, Viegas-Walker, and Wapner

NOES: None
ABSTAIN: None

3. 2014 Southern California Regional Active Transportation Program:
Funding Recommendations and Project List

Hasan Ikhata, Executive Director, provided a brief report of the 2014 Southern California Regional Active Transportation program (ATP), which recommends funding 54 projects, totaling \$78.2 million to promote walking and biking in the region. The projects will be submitted to the California Transportation Commission for approval at their November 12, 2014 meeting.

Mr. Ikhata requested the EAC to recommend that the Regional Council adopt Resolution No. 14-563-2 approving (1) the 2014 Southern California Regional Active Transportation Representative Program; and (2) authorize the submittal of the recommended project list to the California Transportation Commission for programming in the 2014 ATP.

Mr. Ikhata and committee members extended their appreciation to staff, with a special thank you to Huasha Liu, Director, Land Use & Environmental Planning and Sarah Jepson, Manager of Active Transportation and Special Programs, for their dedication and commitment towards this effort.

A MOTION was made (Martinez) and SECONDED (Jahn) to approve staff's recommendation. The motion was passed by the following vote:

AYES: Morehouse, Finlay, Jahn, McCallon, Martinez, Pettis
Rodriguez, Viegas-Walker, and Wapner
NOES: None
ABSTAIN: None

CONSENT CALENDAR

Approval Items

4. Minutes of the September 11, 2014 Meeting

Receive and File

5. SCAG Sustainability Planning Grants Program – Monthly Update
6. Purchase Orders \$5,000 but less than \$200,000; Contracts \$25,000 but less than \$200,000; and Amendments \$5,000 but less than \$75,000
7. September 2014 State and Federal Legislative Update

A MOTION was made (Jahn) and SECONDED (Finlay) to approve the Consent Calendar. The motion was passed by the following vote:

AYES: Morehouse, Finlay, Jahn, McCallon, Martinez, Pettis
Rodriguez, Viegas-Walker, and Wapner
NOES: None
ABSTAIN: None

CFO MONTHLY FINANCIAL REPORT

Basil Panas, CFO, provided highlights of the CFO Monthly Financial Report.

FUTURE AGENDA ITEM

Hon. Morehouse, Chair, requested staff to schedule more Joint Policy Committees in order for all Committee members to be able to participate on related and broader regional issues or concerns.

Hasan Ikhrata concurred and stated that a joint meeting of the Policy Committees is currently being scheduled and will be announced soon to the membership.

ANNOUNCEMENTS

There were no announcements made.

ADJOURNMENT

The EAC adjourned its meeting at 9:42 a.m. The next regular meeting of the Executive/Administration Committee will be held on Thursday, November 6, 2014 at the SCAG Los Angeles office.

Approved by: TO BE APPROVED



Lillian Harris-Neal, CMC
Clerk of the Board

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DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

SUBJECT: Contracts \$200,000 or Greater: Contract No. 15-004-C1, Program Environmental Impact Report (PEIR) for the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS)

EXECUTIVE DIRECTOR'S APPROVAL:



RECOMMENDED ACTION:

Approve Contract No. 15-004-C1 with Sapphos Environmental, Inc., in an amount not-to-exceed \$449,163, to provide the PEIR for the 2016 RTP/SCS.

EXECUTIVE SUMMARY:

The consultant shall provide services to ensure that environmental compliance procedures under California Environmental Quality Act (CEQA), Moving Ahead for Progress in the 21st Century (MAP 21) Performance Requirements, as well as other federal and state environmental laws are adequately addressed and fulfilled in the 2016 PEIR. The consultant shall be responsible for developing a complete, environmentally-compliant and legally-defensible 2016 RTP/SCS PEIR in the compressed timeframe and under the schedule constraints of developing the RTP and SCS simultaneously.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

BACKGROUND:

Staff recommends executing the following contract \$200,000 or greater:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Sapphos Environmental, Inc. (15-004-C1)	The consultant shall provide the PEIR for the 2016 RTP/SCS.	\$449,163

FISCAL IMPACT:

Funding of \$150,000 is available in the FY 2014-15 budget, and the remaining \$299,163 is expected to be available in the FY 2015-16 budget, subject to budget availability.

ATTACHMENT:

Consultant Contract No. 15-004-C1

CONSULTANT CONTRACT 15-004-C1

Recommended Consultant: Background & Scope of Work:

Sapphos Environmental, Inc.

The Southern California Association of Governments is responsible for developing a 2016 Program Environmental Impact Report (PEIR) for the 2016-2040 Regional Transportation Plan / Sustainable Communities Strategy (2016 RTP/SCS). The 2016 RTP is a long-term strategy for the development and preservation of the region's transportation system. For a transportation project to become eligible for federal and state funding, it must be included in the financially-constrained portion of the RTP. In addition, with the passage of SB 375 (Steinberg 2008), the 2016 RTP must be integrated with a Sustainable Communities Strategy (SCS) that meets Air Resources Board greenhouse gas (GHG) emissions targets from cars and light duty trucks.

The 2016 PEIR is an environmental compliance requirement for the RTP/SCS. Without a certified environmental impact report, the 2016 RTP/SCS and transportation strategies in the 2016 RTP/SCS cannot move forward. The 2016 RTP/SCS PEIR will serve as a first-tier, programmatic document that provides a region-wide assessment of the potential significant environmental effects of implementing the projects, programs, and policies included in the proposed 2016 RTP/SCS. The PEIR must effectively evaluate regional-scale impacts and propose effective and feasible mitigation measures so that the document may be used as a first-tier document to facilitate California Environmental Quality Act (CEQA) streamlining (including SB 375 and SB 743 specific CEQA streamlining).

The consultant shall provide services to ensure that environmental compliance procedures under CEQA; Moving Ahead for Progress in the 21st Century (MAP 21) Performance Requirements; as well as other federal and state environmental laws are adequately addressed and fulfilled in the 2016 PEIR. The consultant shall be responsible for developing a complete, environmentally compliant, and legally-defensible 2016 RTP/SCS PEIR in the compressed timeframe and under the schedule constraints of developing the RTP and SCS simultaneously.

Project's Benefits & Key Deliverables:

The project's benefits and key deliverables include, but are not limited to:

- A completed, environmentally compliant, and legally defensible PEIR for the 2016 RTP/SCS;
- A CEQA compliant public notification and consultation process with all the appropriate stakeholders; and
- Delivery of 2016 RTP/SCS PEIR, a first-tier, programmatic document that provides a region-wide assessment of the potential significant environmental effects of implementing the projects, programs, and policies included in the proposed 2016 RTP/SCS.

Strategic Plan:

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

Contract Amount:	Total not to exceed:	\$449,163
	Sapphos Environmental, Inc. (prime)	\$404,223
	Kleinfelder (subconsultant)	\$44,940

Sapphos Environmental, Inc. proposed \$777,657, but staff negotiated the price down to \$449,163, without reducing the scope of work.

Contract Period: November 17, 2014 through June 30, 2016

Work Element: 14-020.SCG00161.04 \$150,000
 15-020.SCG00161.04 \$299,163
 Funding sources: Consolidated Planning Grant – FTA and TDA

Request for Proposal (RFP): SCAG staff notified 2,467 firms of the release of RFP No. 15-004 via SCAG's Solicitation Management System and the American Planning Association's website. A total of 64 firms downloaded the RFP. SCAG received the following two (2) proposals in response to the solicitation:

Sapphos Environmental, Inc. (1 subconsultant)	\$777,657
Impact Sciences (4 subconsultants)	\$638,508

Selection Process: The Proposal Review Committee (PRC) evaluated both proposals in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating both proposals, the PRC interviewed both proposers.

The PRC consisted of the following individuals:

Michael Krause, Program Supervisor, SCAQMD
 Mine Struhl, Senior Environmental Planner, Caltrans
 Lijin Sun, Senior Regional Planner, SCAG

Basis for Selection: The PRC recommended Sapphos Environmental, Inc., for the contract award because the consultant's team:

- Best demonstrated the need for thorough adherence to, and understanding of CEQA requirements, in support of the implementation of SB 375;
- Demonstrated the most extensive and successful experience in creating legally-defensible and program-level environmental reviews, and proposed the best plan to work with SCAG staff to prepare a legally-defensible document for the project;
- Addressed in detail the enhanced CEQA sensitivities due to recent developments on CEQA legislation, including SB 375 and SB 743, and case laws. The consultant also demonstrated the best understanding of the importance of developing a workable framework for the PEIR to optimize both SB 375, SB 743, and other CEQA streamlining opportunities;
- Demonstrated the best understanding of the public outreach requirement set forth in MAP-21, and best demonstrated a comprehensive, thoughtful approach to public outreach during the interview;
- Described the best technical approach in its proposal and especially during

- Demonstrated in its proposal and best articulated during the interview an efficient approach to allocating work efforts between the prime and its subconsultant with clearly defined roles.

Although the other firm proposed a lower price, the PRC did not recommend the firm for contract award because the firm:

- Did not clearly demonstrate a sufficient level of effort, primarily in the form of staff allocation and contribution among its four (4) subconsultants, to satisfactorily complete the tasks in the Scope of Work; and
- Did not demonstrate the same level of creativity and innovation within their proposed technical approach.

**Conflict Of Interest Form - Attachment
For November 6, 2014 Regional Council Approval**

Item #: 4

Approve Contract No. 15-004-C1, in an amount not to exceed \$449,163 for the 2016 RTP/SCS PEIR effort.

The consultants for this contract include:

Saphhos Environmental, Inc. (prime)

Kleinfelder Inc. (subconsultant)

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-004

SECTION I: INSTRUCTIONS

All persons or firms seeking Federal funded contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel, especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal.

Name of Firm: Sapphos Environmental, Inc.

Name of Preparer: Thomas Kmett

Project Title: SCAG 2016 Regional Transportation Plan (RTP) / Sustainability Communities Strategy (SCS) Program EIR

RFP Number: 15-004

Date Submitted: August 18, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

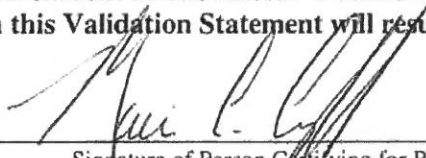
Name	Date	Dollar Value

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Marie Campbell, (Social Security Number; optional) _____ hereby declare that I am the (position or title) President of (firm name) Sapphos Environmental, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 14 August 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

August 14, 2014

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-004

SECTION I: INSTRUCTIONS

All persons or firms seeking Federal funded contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel, especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal.

Name of Firm: Kleinfelder, Inc.

Name of Preparer: Mark W. Miller

Project Title: Vice President

RFP Number: 15-004 Date Submitted: 8/13/14

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☒ YES ☐ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
Marion Ashley	March 13, 2014	\$100.00
Michael Tahan	September 20, 2012	\$250.00

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Mark W. Miller, (Social Security Number; optional) _____ hereby declare that I am the (position or title) Vice President of (firm name) Kleinfelder, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 8/13/14 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.


Signature of Person Certifying for Proposer
(original signature required)

8/13/14

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

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DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

SUBJECT: Contracts \$200,000 or Greater: Contract No. 15-005-C1, Scenario Planning Services

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:

Approve Contract No. 15-005-C1 with Calthorpe Analytics, in an amount not-to-exceed \$310,000, to develop various growth scenarios.

EXECUTIVE SUMMARY:

SCAG is seeking consultant services for the development of growth scenarios exploring regional options for land use, transportation and non-infrastructure components such as pricing and technology for the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS), and associated Program Environmental Impact Report (PEIR).

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

BACKGROUND:

Staff recommends executing the following contract \$200,000 or greater:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Calthorpe Analytics (15-005-C1)	The Consultant shall provide scenario planning services.	\$310,000

FISCAL IMPACT:

Funding of \$250,000 is available in the FY 2014-15 budget, and the remaining \$60,000 is expected to be available in the FY 2015-16 budget, subject to budget availability.

ATTACHMENT:

Consultant Contract No. 15-005-C1

CONSULTANT CONTRACT 15-005-C1

**Recommended
Consultant:**

Calthorpe Analytics

**Background &
Scope of Work:**

SCAG is seeking consultant services for the development of growth scenarios exploring regional options for land use, transportation and non-infrastructure components such as pricing and technology for the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS), and associated Program Environmental Impact Report (PEIR).

The scenarios and 2016 RTP/SCS will demonstrate that the region can collectively meet or exceed greenhouse gas (GHG) emissions reduction targets pursuant to Senate Bill 375 (SB 375) as well as meeting other planning mandates and providing a range of “co-benefits” for the region. The scenarios for the 2016 RTP/SCS will reflect the demographics and vision of a changing and diverse Southern California region.

**Project’s Benefits
& Key Deliverables:**

The project’s benefits and key deliverables include, but are not limited to:

- Updating the goals and policies articulated in the adopted 2012-2035 RTP/SCS;
- Assembly of data on land use and housing, and transportation strategies provided by SCAG local jurisdictions into a “Local Input” scenario, and analysis of each scenario using various performance measures;
- Assembly of data into a “2012-2035 RTP/SCS-Updated” scenario built on the land use and transportation strategies in the adopted 2012-2035 RTP/SCS and analysis of the scenario using various performance measures; and
- Preparation of outreach materials for communicating the content and implications of all scenarios for stakeholder workshops.

Strategic Plan

This item supports SCAG’s Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

Contract Amount:

Total not-to-exceed	\$310,000
Calthorpe Analytics (prime consultant)	\$241,752
Fehr and Peers (subconsultant)	\$68,248

Note: Calthorpe originally proposed \$353,053, but staff negotiated the price down to \$310,000 without reducing the Scope of Work.

Contract Period:

Notice to Proceed through June 30, 2016

Project Number:

065.2663.02 \$100,000

Funding of \$250,000 is available in the FY 2014-15 budget, and the remaining \$60,000 is expected to be available in the FY 2014-15 budget, subject to budget availability.

Funding sources: Consolidated Planning Grant – FTA and TDA

Request-for-Proposal (RFP): SCAG staff notified 2,492 firms of the release of RFP 15-005-C1 via SCAG's Solicitation Management System. A total of 79 firms downloaded the RFP. SCAG received the following proposal in response to the solicitation:

Calthorpe Analytics (1 subconsultant)

\$353,053

After receiving only one (1) proposal, staff surveyed the 79 firms that downloaded the RFP to determine why each did not submit a proposal. 24 firms responded to staff's inquiry, which disclosed the main reason these firms did not respond was due to them not having the required expertise or being unable to team-up with a prime.

Selection Process: The Proposal Review Committee (PRC) evaluated the proposal in accordance with the criteria set forth in the RFP and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposal the PRC interviewed the offeror.

The PRC consisted of the following individuals:

Christopher Tzeng, Associate Regional Planner, SCAG
Rye D. Baerg, Associate Regional Planner, SCAG
Rebecca Sanchez, Associate Transportation Planner, Caltrans – District 7
JungA Uhm, Senior Regional Planner, SCAG

Basis for Selection: The PRC recommended Calthorpe Analytics for the contract award because the consultant:

- Demonstrated a thorough understanding of the project, specifically, proposed a methodology that will make the transition from policy to actual scenario planning and analysis to be seamless and will work via the Urban Footprint/Scenario Planning Model user interface to prepare and run the analytical engines. They described the importance of defending the 2016 RTP/SCS scenarios through calibration and verification of the analytical engines and policy variables.
- Provided an appropriate technical approach to accomplish the tasks in the scope of work. For example, they included land use policies that address sensitivity to changing climate conditions (sea rise and flood risk, fire risk, and other climate adaptation challenges).
- Will utilize a tool that will allow users of the Scenario Planning Model to compose scenarios and visualize their effects on the amount of future travel that will occur within their region; and
- Focused on the calibration of UrbanFootprint's sketch travel model. This model is supported by the United States Environmental Protection Agency (US EPA) and American Planning Association (APA), and is proven to be an accurate sketch-level replication of forecasts produced by sophisticated Metropolitan Planning Organization regional travel models. It provides scenario-specific estimates of county and regional vehicle miles traveled (VMT) and mode share for the evaluation of fuel consumption, GHG emissions, and other transportation emissions. In addition, this specific transportation analysis will produce other direct and indirect effects of travel impacts, including energy consumption and public health.

**Conflict Of Interest Form - Attachment
For November 6, 2014 Regional Council Approval**

Item No. 5

Approve Contract No. 15-005-C1, in an amount not to exceed **\$\$310,000**, to Calthorpe Associates/Calthorpe Analytics for assistance in the development of growth scenarios exploring regional options for land use, transportation and non-infrastructure components.

This consultant team for this contract includes:

Calthorpe Associates (prime consultant)

Fehr and Peers (subconsultant)

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-005

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel, especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Calthorpe Associates

Name of Preparer: Peter Calthorpe

Project Title: Development of Land Use and Transportation Scenarios for the 2016 RTP and SCS

RFP Number: 15-005 Date Submitted: August 21, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
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<hr/>	<hr/>
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2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:


Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Peter Calthorpe, hereby declare that I am the (position or title) Principal and Partner of (firm name) Calthorpe Associates, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated August 21, 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

August 21, 2014

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-005

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel, especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Fehr & Peers

Name of Preparer: Christopher J. Gray

Project Title: Development of Land Use and Transportation Scenarios

RFP Number: 15-005 Date Submitted: 8/19/2014 for 2016 RTP/SCS

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

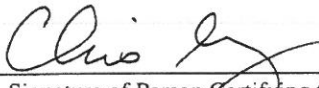
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Christopher J. Gray, hereby declare that I am the (position or title) Principal of (firm name) Fehr & Peers, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 8/19/2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

	<u>8/19/2014</u>
Signature of Person Certifying for Proposer (original signature required)	Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

SUBJECT: Contracts \$200,000 or Greater: Contract Nos. 15-009-C1 through 15-009-C10, On-Call Modeling and Technical Support Services

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:

Approve Contract Nos. 15-009-C1 through 15-009-C10, with various consultants, in an amount not-to-exceed \$500,000, to provide on-call modeling and technical support, for a two-year period.

The consultants are:

1. AECOM
2. Caliper Corporation
3. Calthorpe Analytics
4. Cambridge Systematics
5. Fehr & Peers
6. Konstadinos Goulas
7. ME Consulting
8. Parsons Brinckerhoff
9. Resource Systems Group
10. Sierra Research

EXECUTIVE SUMMARY:

The above consultants shall provide modeling and technical support as well as maintenance services for the preparation of the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS) modeling analysis.

SCAG has selected the above consultants for the contract award, and may request on-call services for three (3) areas: 1) Transportation Model Support and Development; 2) Land Use and Socio-Economic Data/Model Support and Development; and 3) Air Quality Model Support and Development.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies; Objective a: Develop and maintain planning models that support regional planning; and Objective b: Develop, maintain and enhance data and information to support planning and decision making in a timely and effective manner.

BACKGROUND:

Staff recommends executing the following contract \$200,000 or greater:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Consultants Identified Above (15-009-C1 to C10)	The selected consultants shall provide a variety of on-call modeling and air quality Services to support SCAG’s implementation of the 2016 RTP/SCS	\$500,000

FISCAL IMPACT:

Funding of \$250,000 is available in the FY 2014-15 budget and the remaining \$250,000 is expected to be available in the FY 2015-16 budget, subject to budget availability.

ATTACHMENT:

Consultant Contract Nos. 15-009-C1 to C10

CONSULTANT CONTRACTS 15-009-C1 through 15-009-C10

Recommended Consultant:	<ol style="list-style-type: none">1. AECOM2. Caliper Corporation3. Calthorpe Analytics4. Cambridge Systematics5. Fehr & Peers6. Konstadinos Goulias7. ME Consulting8. Parsons Brinckerhoff9. Resource Systems Group10. Sierra Research	
Background & Scope of Work	<p>The development, maintenance, and application of SCAG's travel demand and emissions models are complex and often unpredictable. These activities greatly benefit by having consultants available for quick turnaround of unforeseen modeling tasks and updates. The selected consultants shall provide modeling and technical support as well as maintenance services for the preparation of the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS) modeling analysis. SCAG solicited consultants to provide the following services on an on-call basis:</p> <p>Task 1 - Transportation Model Support and Development; Task 2 - Land Use and Socio-Economic Data/Model Support and Development; Task 3 - Air Quality Model Support and Development.</p>	
Project's Benefits & Key Deliverables:	<p>The project's benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none">• Expertise and technical assistance in developing and maintaining modeling tools that staff will use in the upcoming 2016-2040 RTP/SCS analysis;• Assistance researching and developing new technical tools to address emerging transportation issues; and• Software support needed to enhance and link SCAG's various models.	
Strategic Plan	<p>This item supports SCAG's Strategic Plan Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies; Objective a: Develop and maintain planning models that support regional planning; and Objective b: Develop, maintain and enhance data and information to support planning and decision making in a timely and effective manner.</p>	
Contract Amount:	Total not-to-exceed	\$500,000
<p>Note: This is for on-call or as needed services with consultants to be paid a set hourly rate for their services. As such, there is no specific award amount to each consultant, nor does SCAG guarantee any specific amount of work to a consultant. Therefore, no award amounts are shown below.</p>		
<ol style="list-style-type: none">1. AECOM (Tasks 1&3) As Needed2. Caliper Corporation (Task 1) As Needed3. Calthorpe Analytics (Task 2) As Needed4. Cambridge Systematics (Task 1) As Needed		

- | | | |
|-----|--|-----------|
| 5. | Fehr & Peers (Tasks 1&2) | As Needed |
| 6. | Konstadinos Goulias (Task 2) | As Needed |
| 7. | ME Consulting (Task 3) | As Needed |
| 8. | Parsons Brinckerhoff (Tasks 1&3) | As Needed |
| 9. | Resource Systems Group (RSG) (Tasks 2&3) | As Needed |
| | Sub: Kittelson & Associates | |
| | Sub: The Sierra Group | |
| 10. | Sierra Research (Task 3) | As Needed |
| | Sub: ME Consulting | |
| | Sub: Arnold Sherwood | |

Contract Period: December 1, 2014 through June 30, 2016

Project Number: 15-070.SCG00130.10 \$250,000
 16-070.SCG00130.10 \$250,000
 Funding sources: Consolidated Planning Grant – FHWA and FTA

Request-for-Proposal (RFP): SCAG staff notified 1,978 firms of the release of RFP 15-009-C1 and posted the RFP on SCAG's Solicitation Management System. A total of 56 firms downloaded the RFP. SCAG received the following 14 proposals in response to the solicitation:

AECOM (no subconsultants)
 AFSHA Consulting (no subconsultants)
 Caliper Corporation (no subconsultants)
 Calthorpe Analytics (no subconsultants)
 Cambridge Systematics (no subconsultants)
 Fehr & Peers (no subconsultants)
 Iteris (1 subconsultants)
 Kittelson & Associates (no subconsultants)
 Konstadinos Goulias (no subconsultants)
 ME Consulting (no subconsultants)
 Metropia (2 subconsultants)
 Parsons Brinckerhoff (no subconsultants)
 Resource Systems Group (RSG) (2 subconsultants)
 Sierra Research (2 subconsultants)

Selection Process: The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC did not conduct interviews because the proposals contained sufficient information to base a contract award.

The PRC consisted of the following individuals:

Chao Wei, Caltrans - District 7 , Senior Transportation Engineer
 Tim Byrne, SANBAG, Chief of Planning
 Hsi-Hwa Hu, SCAG, Transportation Modeling Manager
 Michael Ainsworth, SCAG, Transportation Modeling Manager

Basis for Selection: The PRC recommended the contract be awarded to multiple consultants to allow SCAG to capitalize on the extensive experience and qualifications of the “Benched” firms, while assigning tasks based on the firm’s unique expertise and experience. The PRC determined that a Bench approach provides SCAG greater flexibility to meet the various needs of the scope of work, will improve the quality of deliverables, and enable SCAG to meet its aggressive model development schedule. Staff qualified ten (10) consultants to perform three (3) different tasks. Some consultants are qualified for just one (1) task, while others are qualified for two (2) different tasks. Once staff identifies work under a specific task, the consultants qualified for that task will compete for the work.

The PRC used the following criteria to determine consultant selection:

- Knowledge/experience working with SCAG’s Model or similar;
- Successful experiences with similar projects;
- Qualifications, capabilities & availability of Project Manager and key staff;
- Ability to demonstrate understanding of applicable Federal/State modeling requirements;
- Realistic and reasonable costs for services to be performed; and
- Ability to shift resources to meet an aggressive schedule.

**Conflict Of Interest Form - Attachment
For November 6, 2014 Regional Council Approval**

Item No. 6

Approve Contract No. 15-009-C1, in an amount not to exceed \$500,000 for On-call services for Model Enhancement and Maintenance

The consultants for this contract include:

AECOM (prime)

Caliper Corp. (prime)

Calthorpe Analytics (prime)

Cambridge Systematics (prime)

Fehr & Peers (prime)

Konstadinos Goulas (prime)

ME Consulting (prime)

Parsons Brinckerhoff (prime)

Resource Systems Group (prime)

Subconsultant: Kittelson & Associates

Subconsultant: The Sierra Group

Sierra Research (prime)

Subconsultant: ME Consulting

Subconsultant: Arnold Sherwood

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: AECOM Technical Services, Inc.
Name of Preparer: Stephen Polechronis
Project Title: On-Call Services for Model Enhancement and Maintenance
RFP Number: 15-009 **Date Submitted:** September 29, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If “yes,” please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☐ NO Please see statement below.

If “yes,” please list name and the nature of the relationship:

Name	Relationship
AECOM is a large multinational corporation with over 45,000 employees worldwide. As such, there is no way for us to know whether any of AECOM’s managers, partners, or officers are related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council.	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☒ YES ☐ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
See attached.		

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Stephen Polechronis, hereby declare that I am the (position or title) Senior Vice President of (firm name) AECOM Technical Services, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 9/24/14 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

September 24, 2014

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG Conflict of Interest Form**RFP No. 15-009, On-Call Services for Model Enhancement and Maintenance****Response to Section II, Question 5**

Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or behalf of a member/candidate)? **YES**

The following is a list of campaign contributions made over the past ten (10) years by AECOM, or its subsidiary company, to a current member of the SCAG Regional Council.

Name	Date	Dollar Value	Operating Entity
Garcetti, Eric	3/27/2013	\$ 25,000	AECOM
Buscaino, Joel	3/22/2012	\$ 500	AECOM
Martinez, Michelle	12/21/2011	\$ 2,500	AECOM
Englander, Mitch	10/5/2010	\$ 500	AECOM
Murray, Kris	2/16/2010	\$ 1,700	AECOM
Garcetti, Eric	10/5/2009	\$ 500	AECOM
Garcetti, Eric	6/1/2009	\$ 500	AECOM
Martinez, Nury	12/17/2008	\$ 1,000	AECOM
Fuentes, Felip	5/12/2008	\$ 1,000	AECOM
Blumenfield, Bob	4/15/2008	\$ 1,000	AECOM
Cedillo, Gilbert	2/26/2008	\$ 1,000	DMJM+Harris
Huizar, Jose	3/6/2007	\$ 500	DMJM+Harris
Huizar, Jose	7/31/2006	\$ 500	DMJM+Harris
Ridley-Thomas, Mark	7/31/2006	\$ 1,500	DMJM+Harris
Huizar, Jose	6/30/2005	\$ 500	DMJM+Harris
Brown, Art C.	7/9/2004	\$ 250	DMJM+Harris

Updated September 9, 2014

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Caliper Corporation
Name of Preparer: Jim Lam
Project Title: 15-009 Model Enhancement and Maintenance On-Call
RFP Number: 15-009 Date Submitted: 9/26/14

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) HOWARD SLAVIN, hereby declare that I am the (position or title) PRESIDENT of (firm name) CALIBER CORPORATION, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated _____ is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

Howard Slavin
Signature of Person Certifying for Proposer
(original signature required)

Sept. 25, 2017
Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

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Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Calthorpe Analytics
Name of Preparer: Joe DiStefano
Project Title: On-Call Services for Model Enhancement and Maintenance
RFP Number: 15-009 **Date Submitted:** September 26, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If “yes,” please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

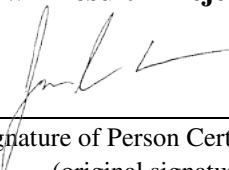
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Joe DiStefano, hereby declare that I am the (position or title) Principal of (firm name) Calthorpe Analytics, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 9/29/2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

	<u>9/29/2014</u>
Signature of Person Certifying for Proposer (original signature required)	Date

NOTICE

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SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

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Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so **MAY** also disqualify your firm from submitting an offer on this proposal

Name of Firm: Cambridge Systematics, Inc.

Name of Preparer: Eric Bierce

Project Title: On-Call Services for Model Enhancement and Maintenance

RFP Number: 15-009 Date Submitted: September 26, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

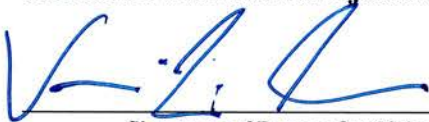
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Vassili Alexiadis, hereby declare that I am the (position or title) Executive Vice President of (firm name) Cambridge Systematics, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated Sep 26, 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

September 26, 2014

Date

NOTICE

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SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

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Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Fehr & Peers
Name of Preparer: Christopher Gray, Principal
Project Title: On-Call Services for Model Enhancement and Maintenance
RFP Number: 15-009 **Date Submitted:** Sept. 29, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If “yes,” please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Steven J. Brown, PE, hereby declare that I am the (position or title) Senior Vice President of (firm name) Fehr & Peers, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 9/22/2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



9/22/2014

Signature of Person Certifying for Proposer
(original signature required)

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

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Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: KONSTADINOS G. GOULIAS
Name of Preparer: KONSTADINOS G. GOULIAS
Project Title: On-call services for Modeling
RFP Number: 15-009 Date Submitted: SEP. 26, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

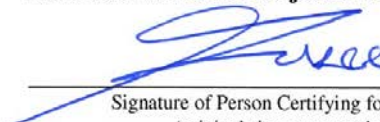
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) KONSTADINOS GOULIAS, hereby declare that I am the (position or title) OWNER of (firm name) KONSTADINOS GOULIAS, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 09/26/14 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

September 26, 2014

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: M ECS.

Name of Preparer: ~~Ms~~ Maryam Ershaghi

Project Title: On-call Services for Model Enhancement and Maint.

RFP Number: RFP No. 15-009 Date Submitted: _____

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

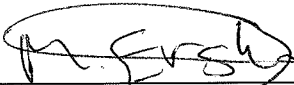
Name	Date	Dollar Value

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Maryam Ershaghi, hereby declare that I am the (position or title) President of (firm name) MECS, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 9/25/2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

 9/25/2014
Signature of Person Certifying for Proposer Date
(original signature required)

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Parsons Brinckerhoff, Inc.

Name of Preparer: Lloyd Graham

Project Title: On-call services for Model Enhancement and Maintenance

RFP Number: 15-009 **Date Submitted:** September 29, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<u></u>	<u></u>
<u></u>	<u></u>
<u></u>	<u></u>
<u></u>	<u></u>

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☒ YES ☐ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
Kris Murray, City Council 2014	11/11/13; 4/24/14	\$1,000.00; \$400.00
Alan D. Wapner, Wapner for Council 2014	6/4/14	\$250.00

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Lloyd Graham, hereby declare that I am the (position or title) Senior Vice President of (firm name) Parsons Brinckerhoff, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated September 22, 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.


Signature of Person Certifying for Proposer
(original signature required)

September 22, 2014

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Resource Systems Group, Inc

Name of Preparer: Maren Outwater

Project Title: On-Call Services for Model Enhancement and Maintenance

RFP Number: 15-009 Date Submitted: September 29, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If “yes,” please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Maren Outwater, hereby declare that I am the (position or title) Vice President of (firm name) Resource Systems Group, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated Sept 29, 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

September 29, 2014

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Kittelton & Associates, Inc.

Name of Preparer: Michael Aronson, PE

Project Title: On-call services for Model Enhancement and Maintenance

RFP Number: 15-009 Date Submitted: September 29, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

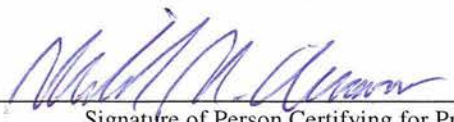
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Michael Aronson, PE, hereby declare that I am the (position or title) Principal Engineer of (firm name) Kittelson & Assoc., Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 09/29/2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

September 29, 2014

Date

NOTICE

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SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so **MAY** also disqualify your firm from submitting an offer on this proposal

Name of Firm: The Sierra Group

Name of Preparer: Rebecca Barrantes

Project Title: SCAG Model Enhancement and Maintenance

RFP Number: 15-009

Date Submitted: 9/25/14

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If “yes,” please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: **VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Rebecca Barrantes, hereby declare that I am the (position or title) President of (firm name) The Sierra Group, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 9/25/14 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

9/25/14

Date

NOTICE

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SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

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In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Sierra Research, Inc.

Name of Preparer: Robert Dulla

Project Title: Model Enhancement and Maintenance, Task 3

RFP Number: 15-009 **Date Submitted:** September 26, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If “yes,” please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Robert G. Dulla, hereby declare that I am the (position or title) Senior Partner of (firm name) Sierra Research, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated September 25, 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

September 25, 2014

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: MECS
Name of Preparer: Manam Ershaghi
Project Title: On-call Services for Model Enhancement and Hait
RFP Number: RFP No. 15-009 Date Submitted: 9/26/14

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

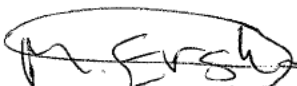
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Margam Ershaghi, hereby declare that I am the (position or title) president of (firm name) MECS, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 9/25/2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

9/25/2014

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: ARNOLD I SHERWOOD
Name of Preparer: ARNOLD I SHERWOOD
Project Title: Model Enhancement and Maintenance, Task 3
RFP Number: 15-009 Date Submitted: 9/26/14

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) ARNOLD I SHERWOOD, hereby declare that I am the (position or title) PRINCIPAL of (firm name) ARNOLD I SHERWOOD, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated Sept 25, 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

Arnold I Sherwood

Signature of Person Certifying for Proposer
(original signature required)

Sept 25, 2014

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

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DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Darin Chidsey; Director, Strategy, Policy & Public Affairs; (213) 236-1836;
chidsey@scag.ca.gov

SUBJECT: SCAG Sponsorships & Memberships

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION FOR EAC:

Recommend that the Regional Council approve the proposed Sponsorships and Membership.

RECOMMENDED ACTION FOR RC:

Approve the proposed Sponsorships and Membership.

EXECUTIVE SUMMARY:

The Legislative/Communications & Membership Committee (LCMC) reviews proposed SCAG sponsorships and memberships prior to Regional Council action. However, due to lack of quorum at the LCMC meeting, there was no review of the following SCAG sponsorships and membership. Therefore, the Executive/Administration Committee (EAC) and Regional Council (RC) are asked to approve up to \$20,000 in sponsorships for: 1) University of Southern California Sol Price School of Public Policy – Executive Education (EXED) Program (\$10,000); 2) California Contract Cities Association – Southern California Water Summit & Fall Conference (\$5,000); and 3) Southern California Leadership Network (SCLN) Southern California Visionaries 2014 Awards Luncheon, December 2, 2014 – (\$5,000). In addition, the EAC and RC are asked to approve up to \$11,500 in FY 14-15 memberships for 4) Coalition for America's Gateways and Trade Corridors (CAGTC) (\$6,500); and 5) Mileage-Based User Fee Alliance (MBUFA) (\$5,000).

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan: Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; and Goal 2: Obtain Regional Transportation infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities.

BACKGROUND:

Sponsorships

1. University of Southern California Sol Price School of Public Policy – Executive Education (EXED) Program – (\$10,000)

The Executive Education (EXED) Forum for Policy at the University of Southern California (USC) Sol Price School of Public Policy (Price) offers a broad-based specialized non-degree certificate programs for local and global leaders. The EXED Forum is a suite of programs targeting public sector and other senior, mid-level, and emerging leaders, and is designed to deepen their understanding of substantive policy issues, augment their ability to leverage and increase existing

public sector capacity, and foster leadership – all with the purpose of improving public and nonprofit administration and solving public problems. The Forum achieves this by bringing together world-renowned faculty of USC Price, experienced practitioners and a dynamic curriculum to teach and reach across boundaries.

The EXED Forum offers two programs: 1) Local Leaders Program, and 2) Global Leaders Program. The Local Leaders Program is designed for local elected officials and offers a focused curriculum in ethics, governance, leadership and public policy to promote and enhance commitment to public value and to reach across sectors. The target audiences for this program are mayors; council members; and supervisors and special district board members. The next Local Leaders Program is scheduled for spring, November 14-15, 2014 at the USC Davidson Conference Center.

SCAG has been a supporter of the USC Price EXED Forum since the 2011-2012 program and is listed on their website as a Strategic/Sponsoring Partner. Several SCAG cities have participated in the Local Leaders Program, including past SCAG President Greg Pettis; Larry McCallon and Pam O'Connor; Regional Council member Alan Wapner, and Policy Committee member Ray Musser. SCAG staff is again recommending a sponsorship in the amount of \$10,000.

2. California Contract Cities Association – Southern California Water Summit & Fall Conference – (\$5,000)

Elected officials and city/county leaders from throughout the region will gather in San Diego on November 7-9, 2014 to learn about how to prepare their communities and constituents for an ever-changing world of water resources. The Southern California Water Summit and Fall Seminar is being presented by the California Contract Cities Association.

SCAG staff is recommending a “Gold” sponsorship, which will include:

- Recognition on dinner signage and all materials sent to invitees;
- Recognition on the California Contract Cities Association website;
- Verbal recognition at all events, including the award ceremony at the welcome dinner; and
- Ten (10) registrations to the event with preferred seating for the luncheon and welcome dinner.

3. Southern California Leadership Network (SCLN) Southern California Visionaries 2014 Awards Luncheon, December 2, 2014 – (\$5,000)

On Tuesday, December 2, 2014, the Southern California Leadership Network (SCLN) will hold the Southern California Visionaries 2014 Awards Luncheon. The Southern California Visionaries Awards Luncheon celebrates dynamic leadership by recognizing outstanding achievements of the region’s leaders. SCLN’s leadership fellowships—Leadership L.A., Leadership Southern California, and California Connections—have already equipped more than 1,500 civic-minded thinkers with the community and regional collaboration skills necessary to address quality of life issues including traffic, water, clean air, education, and public safety. SCAG has successfully partnered with the SCLN for many years, with SCAG board members participating in the SCLN’s leadership development program, the annual awards luncheon, and panel discussions on regional policy issues. The SCLN estimates there will be over 400 business, government, and non-profit leaders from Southern California in attendance. SCAG staff is recommending a “Bronze” sponsorship in the amount of \$5,000, which will include:

- Ten (10) tickets for the luncheon;
- Company name in event program;
- Sponsor recognition on all event signage and electronic promotions;
- Verbal recognition at the event; and
- Half page “bronze ad” (black and white) in tribute book.

Memberships

4. Coalition for America’s Gateways & Trade Corridors (CAGTC) – (\$6,500)

SCAG is a founding member of the Coalition for America’s Gateways & Trade Corridors (CAGTC) as part of the RC high-priority goal to seek a federal freight funding partnership. CAGTC works with and through its members to raise public recognition and Congressional awareness of U.S. freight needs to promote sufficient funding in federal legislation for trade corridors, gateways, intermodal connectors, and freight facilities. CAGTC is comprised of over sixty representative organizations, including state Departments of Transportations (DOTs), MPOs, ports, engineering firms, and freight corridors who work to improve national freight policy. All of the Southern California freight stakeholders are a member of CAGTC. As a CAGTC member, SCAG will have the opportunity to participate in helping shape national freight policy and legislation with the organization. SCAG Chief Deputy Executive Director Sharon Neely currently serves as Chairman of the CAGTC Executive Committee. RC Board Officers regularly travel to Washington, DC to attend the meetings and conferences. The annual dues are \$6,500.

5. Mileage-Based User Fee Alliance (MBUFA) – (\$5,000)

The Mileage-Based User Fee Alliance (MBUFA) is a national non-profit organization that brings together government, business, academic, and transportation policy leaders to conduct education and outreach on the potential for mileage-based user fees as an alternative for future funding and improved performance of the U.S. transportation system. Formed in 2010, MBUFA is comprised of nearly 30 public and private sector entities from across the United States including AECOM, California Department of Transportation (Caltrans), CDM Smith, Parsons Brinckerhoff, and seven other state departments of transportation. As a MBUFA member, SCAG will have the opportunity to shape the debate regarding mileage-based user fees in line with the funding strategies outlined in the 2012-2035 Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS). Staff is recommending a “Gold” level membership, which in addition to education, advisory, and advocacy, also includes:

- Maximum exposure at MBUFA workshops;
- Podium recognition, signage, logo, and attendance at workshop sponsor dinners for up to three (3) guests; and
- Logo on programs and appropriate literature distribution.

FISCAL IMPACT:

\$31,500 for sponsorships and memberships are included in the approved FY 14-15 General Fund budget.

ATTACHMENT:

None

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DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Hasan Ikhata, Executive Director, ikhata@scag.ca.gov, 213-236-1944

SUBJECT: SCAG Sustainability Planning Grants Program – Monthly Update

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:

Receive and File.

EXECUTIVE SUMMARY:

SCAG is providing a monthly update regarding the successful implementation of the seventy-five (75) grants Sustainability Grants to member agencies. Forty-four (44) of the seventy-five (75) approved SCAG Sustainability Planning Grants were funded in the fall of 2013. An additional fifteen (15) projects were funded in the summer of 2014. Six (6) of these projects will be funded by an award to SCAG from the California Strategic Growth Council. The remaining projects were funded in the fall of 2014. At the time this report was distributed, forty-eight (48) grant projects have had Scopes of Work developed and finalized, forty-eight (48) grant projects have had Request for Proposals (RFPs) released, forty-six (46) grant projects have selected consultants, and forty (40) grant projects have had contracts executed.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; and Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies.

BACKGROUND:

On September 12, 2013, the Regional Council approved seventy-three (73) Sustainability Planning Grant projects and directed staff to proceed with funding projects with available funds for Phases I and Phase II projects (total of 44 projects). The remaining projects comprised Phase III and are proceeding as additional funds have become available in FY 2014/2015. An additional fifteen (15) projects were funded in the summer of 2014. On August 7, 2014 the Regional Council approved adding two (2) Sustainability Planning Grant projects to the approved list for a total of seventy-five (75) projects. On October 2, 2014 the Regional Council approved funding for the remaining projects on the list.

SCAG staff is providing monthly updates to the Board regarding implementation of the seventy-five (75) grants. At the time this report was written, forty-eight (48) grant projects have had Scopes of Work developed and finalized, forty-eight (48) grant projects have had Request for Proposals (RFPs) released, forty-six (46) grant projects have selected consultants, and forty (40) grant projects have completed negotiations and have contracts executed.

FISCAL IMPACT:

Funding is included in SCAG's FY 2014-15 Overall Work Program (OWP) Budget. Staff's work budget for the current fiscal year are included in FY 2014-15 OWP 065.SCG02663.02.

ATTACHMENT:

Summary Progress Chart

SCAG Sustainability Planning Grants

October 21, 2014

Regional Council Progress Update

		Working / Last Contact					
Rank	Applicant	Project	Scope	RFP	Selection	Contract	
Phase 1 (Available funds FY 13-14)							
1	San Bernardino County	Bloomington Area Valley Blvd. Specific Plan Health and Wellness Element - Public health; Active transportation; Livability; Open space	x	x	x	x	x
2	Los Angeles - Department of City Planning	Van Nuys & Boyle Heights Modified Parking Requirements - Economic development; TOD; Livability	x	x	x	x	x
3	Los Angeles - Department of City Planning	Bicycle Plan Performance Evaluation - Active transportation; performance measures	x	x	x	x	x
4	Western Riverside Council of Governments	Public Health: Implementing the Sustainability Framework - Public health; Multi-jurisdiction coordination; Sustainability	x	x	x	x	x
5	Santa Ana	Complete Streets Plan - Complete streets; Active transportation; Livability	x	x	x	x	x
6	San Bernardino Associated Governments	Climate Action Plan Implementation Tools - GHG reduction; Multi-jurisdiction coordination; Implementation	x	x	x	x	x
7	Riverside	Restorative Growthprint Riverside - GHG reduction; Infrastructure investment; Economic development	x	x	x	x	x
8	Orange County Parks	Orange County Bicycle Loop - Active transportation; Multi-jurisdictional; Public health	x	x	x	x	x
9	Ventura County	Connecting Newbury Park - Multi-Use Pathway Plan - Active transportation; Public health; Adaptive re-use	x	x	x	x	x
10	Imperial County Transportation Commission	Safe Routes to School Plan - Multi-modal; Active transportation	x	x	x	x	x
11	Yucaipa	College Village/Greater Dunlap Neighborhood Sustainable Community - Complete Streets; TOD	x	x	x	x	x

Working / Last								
Rank	Applicant	Project	Contact	Scope	RFP	Selection	Contract	
12	Las Virgenes-Malibu Council of Governments	Multi-Jurisdictional Regional Bicycle Master Plan - Active transportation; Public health; Adaptive re-use	x	x	x	x	x	
13	Eastvale	Bicycle & Pedestrian Master Plan - Active Transportation	x	x	x	x	x	
14	West Covina	Downtown Central Business District - Multi-modal; Active transportation	x	x	x			
15	Placentia	General Plan/Sustainability Element & Development Code Assistance - General Plan Update; Sustainability Plan	x	x	x	x	x	
16	Paramount/Bellflower	Regional Bicycle Connectivity - West Santa Ana Branch Corridor - Active transportation; multi-jurisdiction	x	x	x	x	x	
17	Costa Mesa	Implementation Plan for Multi-Purpose Trails - Active Transportation	x	x	x	x	x	
	Phase 2 (Available funds)							
18	Fullerton	East Wilshire Avenue Bicycle Boulevard - Active transportation; Livability; Demonstration project	x	x	x	x	x	
19	Beaumont	Climate Action Plan - GHG reduction	x	x	x	x	x	
20	Palm Springs	Sustainability Master Plan Update - Leverages larger effort; commitment to implement	x	x	x			
21	Big Bear Lake	Rathbun Corridor Sustainability Plan - Multi-modal; Economic development; Open space	x	x	x	x	x	
22	Western Riverside Council of Governments	Land Use, Transportation, and Water Quality Planning Framework - Integrated planning, Sustainability	x	x	x	x	x	
23	Anaheim	Bicycle Master Plan Update - Active transportation	x	x	x	x	x	
24	Ontario	Ontario Airport Metro Center - Multi-modal; Visualization; Integrated planning	x					
25	Coachella Valley Association of Governments	CV Link Health Impact Assessment - Active transportation; Public health; Multi-jurisdiction	x	x	x	x	x	

Working / Last								
Rank	Applicant	Project	Contact	Scope	RFP	Selection	Contract	
26	San Bernardino Associated Governments	San Bernardino Countywide Complete Streets Strategy - Multi-modal; Livability; Multi-jurisdiction	x	x	x	x	x	
27	Chino Hills	Climate Action Plan and Implementation Strategy - GHG reduction; Implementation; Sustainability	x	x	x	x	x	
28	Coachella	La Plaza East Urban Development Plan - Mixed-use, TOD, Infill	x	x	x	x	x	
29	South Bay Bicycle Coalition/Hermosa, Manhattan, Redondo	Bicycle Mini-Corral Plan - Active transportation; implementable; good value	x	x	x	x	x	
30	Hawthorne	Crenshaw Station Area Active Transportation Plan and Overlay Zone - Multi-modal; Active transportation; GHG reduction	x	x	x	x	x	
31	Chino	Bicycle & Pedestrian Master Plan - Multi-modal; Active transportation	x	x	x	x	x	
32	Stanton	Green Planning Academy - Innovative; Sustainability; Education & outreach	x	x	x	x	x	
33	Hermosa Beach	Carbon Neutral Plan - GHG reduction; Sustainability	x	x	x	x	x	
34	Palm Springs	Urban Forestry Initiative - Sustainability; Unique; Resource protection	x	x	x	x	x	
35	Orange County	"From Orange to Green" - County of Orange Zoning Code Update - Sustainability; implementation	x	x	x	x	x	
36	Calimesa	Wildwood and Calimesa Creek Trail Master Plan Study - Active transportation; Resource protection	x	x	x	x		
37	Western Riverside Council of Governments	Climate Action Plan Implementation - GHG Reduction; Multi-jurisdiction; implementation	x	x	x	x	x	
38	Lynwood	Safe and Healthy Community Element - Public health & safety, General Plan update	x	x	x	x	x	

Working / Last								
Rank	Applicant	Project	Contact	Scope	RFP	Selection	Contract	
39	Palmdale	Avenue Q Feasibility Study - Mixed-use; Integrated planning	x	x	x	x	x	
40	Long Beach	Willow Springs Wetland Habitat Creation Plan - Open Space; Resource protection	x	x	x	x	x	
41	Indio	General Plan Sustainability and Mobility Elements - Sustainability; Multi-modal, General Plan update	x	x	x	x		
42	Glendale	Space 134 - Open space/Freeway cap; Multi-modal	x	x	x	x	x	
43	Rancho Palos Verdes/City of Los Angeles	Western Avenue Corridor Design Implementation Guidelines - Urban Infill; Mixed-use; Multi-modal	x	x	x	x	x	
44	Moreno Valley	Nason Street Corridor Plan - Multi-modal; Economic development	x	x	x	x	x	
Phase 3 (Pending additional funds)								
45	Park 101/City of Los Angeles	Park 101 District - Open space/Freeway cap; Multi-modal	x					
46	Los Angeles/San Fernando	Northeast San Fernando Valley Sustainability & Prosperity Strategy - Multi-jurisdiction; Economic development; Sustainability	x	x	x	x		
47	San Dimas	Downtown Specific Plan - Mixed use; Infill	x					
48	Los Angeles - Department of City Planning	CEQA Streamlining: Implementing the SCS Through New Incentives - CEQA streamlining	Oct-13					
49	Pico Rivera	Kruse Road Open Space Study - Open space; Active transportation	x	x	x			
50	South Bay Cities Council of Governments	Neighborhood-Oriented Development Graphics - public outreach	x					
51	San Bernardino Associated Governments	Safe Routes to School Inventory - Active transportation; Public health	x	x	x	x	x	
52	Burbank	Mixed-Use Development Standards - Mixed use; Urban infill	x					

Working / Last								
Rank	Applicant	Project	Contact	Scope	RFP	Selection	Contract	
53	San Bernardino Associated Governments	Countywide Habitat Preservation/Conservation Framework - Open Space; Active Transportation	x					
54	Rancho Cucamonga	Healthy RC Sustainability Action Plan - Public health; implementation	x					
55	Pasadena	Form-Based Street Design Guidelines - Complete Streets; Multi-modal; Livability	x					
56	South Gate	Gateway District/Eco Rapid Transit Station Specific Plan - Land Use Design; Mixed Use; Active Transportation	x					
57	Lancaster	Complete Streets Master Plan - Complete Streets Plan	x					
58	Rancho Cucamonga	Feasibility Study for Relocation of Metrolink Station - Transit Access	x					
59	Santa Clarita	Soledad Canyon Road Corridor Plan - Land Use Design; Mixed Use Plan	x					
60	Seal Beach	Climate Action Plan - Climate Action Plan	x					
61	La Mirada	Industrial Area Specific Plan - Land Use Design	Sep-14					
62	Hemet	Downtown Hemet Specific Plan - Land Use Design; Mixed Use Plan	x					
63	Hollywood Central Park/City of Los Angeles	Hollywood Central Park EIR - Open Space/Freeway Cap; Multi-modal	x					
64	Desert Hot Springs	Bicycle/Pedestrian Beltway Planning Project - Active Transportation	x					
65	Cathedral City	General Plan Update - Sustainability - General Plan Update; Sustainability Plan	x					
66	Westminster	General Plan Update - Circulation Element - General Plan Update; Complete Streets	x	x	x	x		
67	La Canada Flintridge	Climate Action Plan - Climate Action Plan	Sep-14					
68	Huntington Beach	Neighborhood Electric Vehicle Plan - Electric Vehicle	x					
69	Pasadena	Green House Gas (GHG) Emission Reduction Evaluation Protocol - Climate Action Plan	Sep-14					

Working / Last								
Rank	Applicant	Project	Contact	Scope	RFP	Selection	Contract	
70	San Bernardino Associated Governments	Countywide Bicycle Route Mobile Application - Active Transportation	x					
71	Dana Point	General Plan Update - General Plan Update	Sep-14					
72	Garden Grove	RE:IMAGINE Downtown - Pedals & Feet - Active Transportation; Infill	x					
73	Barstow	Housing Element and Specific Plan Update - Housing; Land Use Design	Sep-14					
74	Bell	General Plan Update - General Plan Update	x					
75	Fountain Valley	Euclid/I-405 Overlay Zone - Mixed use; Urban infill	x	x	x	x		

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DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer, panas@scag.ca.gov. 213-236-1817

SUBJECT: FY 2015-16 Comprehensive Budget Development Schedule

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:

For Information Only - No Action Required.

EXECUTIVE SUMMARY:

The FY 2015-16 Comprehensive Budget Development Schedule has been completed. The schedule accommodates all federal and state guidelines and lists the dates of action required by SCAG Management; the Executive/Administration Committee, Regional Council, General Assembly; Federal Highway Administration (FHWA)/Federal Transit Administration (FTA); and the California Department of Transportation (Caltrans).

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan Goal 3: Enhance the Agency's Long Term Financial Stability and Fiscal Management.

BACKGROUND:

As a Metropolitan Planning Organization (MPO), SCAG is required by FHWA, FTA and Caltrans to develop an annual Overall Work Program (OWP) which identifies the work that will be accomplished during the fiscal year. The OWP represents a major part of the Comprehensive Budget and is primarily funded by federal and state transportation funds. The Comprehensive Budget also includes other federal and state grant funded program budgets; the SCAG General Fund budget; and the Indirect Cost and Fringe Benefits budgets. Completion of the development of each budget component and the associated tasks takes a significant amount of time and coordination with our funding and regional partners. Therefore, a schedule was developed to ensure that all SCAG, Caltrans and FHWA/FTA due dates are met by keeping the process on track. The following are key milestones and due dates to assist the Regional Council, Caltrans, FHWA, FTA, our regional partners and SCAG with awareness of these dates during the development period.

- | | |
|--|------------------|
| • Budget/OWP Schedule presented as information | November 6, 2014 |
| • Present Comprehensive Budget Document and Indirect Cost and General Fund to EAC/RC | February 5, 2015 |
| • Submit Draft OWP to Caltrans, FHWA/FTA | March 2, 2015 |
| • EAC and RC approve release of Draft OWP for public comments | March 5, 2015 |
| • EAC and RC approve SCAG's Comprehensive Budget | March 5, 2015 |

REPORT

- Mail General Fund (GF) Budget to General Assembly for May 7, 2015 meeting March 5, 2015
- Coordinate Draft Work Plans from Partner Agencies to minimize duplication of work effort March 2-6, 2015
- Receive Caltrans/FHWA/FTA comments on Draft OWP April 2, 2015
- Public Comment period closes April 3, 2015
- Response to Public Comments completed April 10, 2015
- Finalize OWP based on comments April 13-30, 2015
- Submit Indirect Cost Allocation Plan to Caltrans April 30, 2015
- General Assembly approved General Fund Budget May 7, 2015
- RC approves Final OWP May 7, 2015
- Submit Final OWP to Caltrans May 7, 2015
- Caltrans Submits Final OWP for FHWA/FTA approval May 29, 2015
- FHWA/FTA grants approval of OWP to Caltrans June 30, 2015
- Caltrans approves SCAG OWP June 30, 2015

FISCAL IMPACT:

The 2015-16 Comprehensive Budget and OWP provide funding for the work program planned for FY 2015-16.

ATTACHMENT:

None

REPORT

AGENDA ITEM NO. 10

DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

SUBJECT: Purchase Orders \$5,000 but less than \$200,000; Contracts \$25,000 but less than \$200,000; and Amendments \$5,000 but less than \$75,000

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:

For Information Only - No Action Required.

STRATEGIC PLAN:

This item supports SCAG'S Strategic Plan Goal 3: Enhance the Agency's Long Term Financial Stability and Fiscal Management.

BACKGROUND:

SCAG executed the following Purchase Orders (PO's) between \$5,000 and \$200,000

<u>Vendor</u>	<u>PO Purpose</u>	<u>PO Amount</u>
La Quinta Resort & Club	2016 GA Summit Deposit	\$125,000
CompuCom Systems, Inc.	FY15 Microsoft Enterprise Agreement Software License Agreement	\$87,380
Westin Bonaventure Hotel & Suites	2014 Economic Summit Deposit	\$40,000
Sheraton Grand Sacramento Hotel	2014 Legislative Reception Deposit	\$20,000
U.S. Postal Service	FY15 Postage	\$10,000
City Fare, Inc.	FY15 Regional Council Meeting Provisions	\$10,000
KC's Mediterranean Grill	FY15 Regional Council Meeting Provisions	\$7,000
American Public Transportation Assoc.	FY15 SCAG Membership	\$5,602

SCAG executed the following Contracts between \$25,000 and \$200,000

<u>Consultant/Contract #</u>	<u>Contract's Purpose</u>	<u>Contract Amount</u>
Burke, Williams, & Sorensen, LLP (15-015-SG1)	The Consultant shall provide the necessary General Counsel legal services to SCAG.	\$199,640

SCAG executed the Amendment between \$5,000 and \$74,999

<u>Consultant/Contract #</u>	<u>Amendment's Purpose</u>	<u>Amendment Amount</u>
N/A	N/A	N/A

ATTACHMENT:

Contract Summary

CONSULTANT CONTRACT 15-015-SG1

Recommended Consultant:	Burke, Williams, & Sorensen, LLP	
Background & Scope of Work:	<p>The position of General Counsel was re-established in July 2012 to help provide legal support to the agency. Given the increased activities of the Regional Council, Joseph Silvey, Burke Williams & Sorenson, was retained as SCAG's General Counsel for the Regional Council and to address appropriate external affairs. Services in connection with this include, but are not limited to: advising and consulting with the SCAG's Regional Council and its committees on legal matters; attending Metropolitan Planning Organization (MPO) Executive Director's meetings with other MPO legal counsels; attending meetings and pursuing specific assignments as directed by SCAG's Executive Director or Chief Deputy Executive Director; collaborating, as needed, on items of Regional Council interest; and reviewing, as necessary, the SCAG Bylaws and Regional Council policies for future opportunities to improve clarity and understanding. These services will be accomplished in a new multi-year contract.</p>	
Project's Benefits & Key Deliverables:	<p>The project's benefits and key deliverables include, but are not limited to: providing legal guidance and/or services to SCAG's Executive Director, Chief Deputy Executive Director and SCAG Regional Council, as requested.</p>	
Strategic Plan	<p>This item supports SCAG's Strategic Plan, Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.</p>	
Contract Amount:	Total not-to-exceed Burke, Williams, & Sorensen, LLP (prime consultant)	\$199,640
Contract Period:	November 1, 2014 through June 30, 2016	
Project Number:	800-0160.01 \$199,640 Funding sources: General Fund	
Request-for-Proposal (RFP):	Not Applicable	
Selection Process:	Not Applicable	
Basis for Selection:	<p>The subject contract award was made in accordance with the Regional Council Policy Manual, Article VIII, Section 1.2, and the SCAG Procurement Manual (sections 3.3. and 3.4) which authorizes the Executive Director to approve contract under \$200,000 without competition and paid for from the General Fund. The selection was also made based upon Burke, Williams, & Sorensen, LLP's extensive knowledge and legal expertise with advising public agencies, and staff's determination that retaining the firm's services is in best interests of the agency.</p>	

DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Darin Chidsey, Director, Policy, Strategy & Public Affairs; Chidsey@scag.ca.gov;
(213)-236-1836

SUBJECT: November 2014 Federal and State Legislative Update

EXECUTIVE DIRECTOR'S APPROVAL: 

FEDERAL

October 1, 2014 was the start of the federal fiscal year 2015. The federal government began operating under the spending authority provided by the short-term continuing resolution (CR) signed into law by President Obama on September 19, 2014. The CR lasts for 72 days (from October 1, 2014 through December 11, 2014). As a general rule, most discretionary appropriations accounts will receive 19.73% of last year's appropriation level under the CR (the percentage representing 72 out of 365 days of the fiscal year).

Both Chambers continue to be in recess until after the November 4 election and upon return are expected to consider an omnibus appropriations bill for the remainder of FY 2015 prior to the end of the current Congress that expires on January 3, 2015.

STATE

For the 2014 calendar year, Governor Brown signed 931 regular session bills and rejected 143 bills, for a 13.3% veto rate; compared to 800 bills he signed in 2013, with 96 vetoes. Below is a list of legislative bills previously reported to the Regional Council, upon which SCAG has taken legislative position, has been either signed or vetoed by the Governor in the final days of the legislative session, or did not pass from the Legislature. Of the 11 state legislative bills SCAG took position upon and advocated for passage, 7 bills were chaptered into law and; 4 bills did not pass with 2 bills failing to pass the Legislature and 2 bills vetoed by the Governor.

Additionally, the cap-and-trade funding provisions included in SCAG's 2014 Legislative Priorities and advocated for by SCAG were wrapped into an omnibus budget trailer bill, SB 862, which passed on June 20, 2014 and is further addressed below. Also, at its September 11, 2014 meeting, SCAG supported Proposition 1, which passed the Legislature as AB 1471 and will appear on the November 4, 2014 General Election ballot where its chance of passage appears to be likely.

SCAG did not formally oppose any legislation in 2014 and it is typically more difficult to pass legislation into law than it is to stop legislation at any point during the legislative process. Thus, the passage of 7 of 11 legislative bills and, additionally, the cap-and-trade provisions as part of the budget process and a revised water bond on the General Election ballot can be considered a very successful session for achieving many of the policy objectives embodied within SCAG's adopted 2014 Legislative Priorities.

Assembly Bill 1721 (Lindner) – Vehicles: High-Occupancy Vehicle Lanes

Sponsored by the Riverside County Transportation Commission (RCTC) and supported early in the process by SCAG, the bill permits HOT lane operators to charge clean air vehicles a reduced toll, including in the Interstate 15 Corridor Improvement Project (I-15 CIP) into which it has invested millions of dollars to develop, in order to provide a stable revenue source and enhance the financial viability of the project.

AB 1721 was CHAPTERED on September 21, 2014.

Assembly Bill 1839 (Gatto/Bocanegra) – Income Taxes: Qualified Motion Pictures

A SCAG supported bill revising the California Entertainment Tax Credit program that would extend the scope of the credits for a qualified motion picture to the applicable percentage of qualified expenditures up to \$100,000,000, would extend the credit to qualified expenditures for television pilot episodes, qualified expenditures for qualified visual effects, and qualified expenditures relating to music scoring and music track recording by musicians, would provide limited credit allocation priority for specified television series, and determine an applicable percentage of 25% or 20% for qualified expenditures for television series relocating to California based on the number of years the series has received the tax credit since relocation to California and where in California photography occurs, among other provisions.

AB 1839 was CHAPTERED on September 18, 2014

Assembly Bill 2707 (Chau) – Vehicle: Buses Bicycle Transportation Devices

Sponsored by the Los Angeles County Metropolitan Transportation Authority (LA Metro) and supported by SCAG, authorizes installation of triple bike racks on 40 foot buses without being in violation of state vehicle length limit laws. As amended, the bill makes its provisions statewide rather than specific only to LA Metro. Bill provisions also specify that a bicycle transported on the triple bike rack may extend up to 42 inches from the front of the bus, increased from the existing 36-inch limit.

AB 2707 was CHAPTERED on September 9, 2014.

Senate Bill 1 (Steinberg) – Sustainable Communities Investment Authority

This bill would have authorized certain public entities of a Sustainable Communities Investment Area to form a Sustainable Communities Investment Authority to carry out the Community Redevelopment Law by financing projects with tax increment funding receipt under certain economic development and planning criteria. The bill established prequalification requirements for receipt of funding and required monitoring and enforcement of prevailing wage requirements within the area. SCAG supported SB 1 to provide local government with an additional tool to develop local projects consistent with a Sustainable Communities Strategy.

SB 1 did not pass from the Legislature.

Senate Bill 69 (Roth) – Property Tax Revenue: Vehicle License Fee

The bill would have restored funding to newly incorporated cities when, in 2011, the Legislature in order to close the state's budget gap passed Senate Bill 89 which eliminated Vehicle License Fee (VLF) revenue allocated to newly incorporated cities and annexed areas. SCAG supported SB 69 at behest of

newly formed member cities in the region and in support of the League of California Cities which also supported the bill.

SB 69 was VETOED on September 28, 2014.

Senate Bill 498 (Lara) – Solid Waste: Biomass Conversion

SB 498 revises the definition of biomass conversion to include non-combustion technologies and would expand authority for biomass processing facilities to convert more biomass organic materials to renewable energy in order to help the state reach its goals of waste reduction and of diversion of organic waste materials from landfills. SCAG supported this bill at the request of the Los Angeles County Department of Public Works as part of the development of a countywide solid waste management plan that supports the conversion of biomass materials to renewable energy.

SB 498 was CHAPTERED on September 28, 2014.

Senate Bill 511 (Lieu) – Trade Promotion of State Ports: Export Finance Office

Co-sponsored by SCAG and the Southern California Leadership Council, SB 511 would establish a new California Export Finance Office (CEFO) to help firms, especially small and medium businesses to finance export sales by providing working capital loan guarantees generally not made available by U.S. banks to support specific export transactions. Consistent with SCAG's board-adopted 2014 Legislative Priority to support legislation to increase exports at the ports and to establish a successor agency to CEFO, the bill as amended, clarified that in re-establishing the California Export Office in the GO-Biz administrative structure and within I-Bank that CEFO be fully coordinated and, where necessary, integrated with existing programs and services including but not limited to, the existing Small Business Finance Center, Small Business Loan Guarantee Program, small business technical assistance, and coordination with federal small business and export related programs, along with access to state and federal funding sources available for export support and development.

SB 511 did not pass from the Legislature.

Senate Bill 1077 (DeSaulnier) – Vehicles: Road Usage Charge Pilot Program

This bill would require the California Transportation Commission (CTC), in consultation with the California State Transportation Agency, to create the Road Usage Charge (RUC) Technical Advisory Committee to guide development and implementation of a pilot program to study the potential for RUC as an alternative to the gas tax to fund the state's transportation system. SCAG supported SB 1077 consistent with its support of a mileage-based user fee policy embodied within the 2012-2035 Regional Transportation Plan/Sustainable Communities Strategy, and the 2014 State Legislative Priorities to support legislation seeking to provide dedicated, secure funding to state highways, streets and roads.

SB 1077 was CHAPTERED on September 29, 2014.

Senate Bill 1228 (Hueso) – Trade Corridors Improvement Fund

SB 1228 continues the Trade Corridors Improvement Fund (TCIF) established by Proposition 1B in 2006, and would provide for allocation of revenues upon appropriation by the California Transportation Commission (CTC) for largely similar purposes as the bond act funds, but would specifically reference as eligible projects infrastructure improvements that benefit the state's land ports of entry. SCAG, working with its regional partners and the author, supported the bill and was successful getting

clarifications amended into the bill that maintain existing TCIF funding distributions to California's primary corridors; and, to specify that future revenue sources shall be allocated through the Trade Corridor Improvement Fund according to the distribution ratios for each corridor as identified in Government Code Section 8879.52 to maintain status quo funding as under current law. Additionally, the amended bill requires the CTC to consult various plans in determining projects eligible for funding, including the Transportation Agency's state freight plan; the State Air Resources Board's Sustainable Freight Strategy; the trade infrastructure and goods movement plan submitted by the Secretary of Transportation and the Secretary of Environmental Protection Agency; the trade infrastructure and goods movement plans adopted by regional transportation planning agencies; adopted regional transportation plans required by state and federal law; and the statewide port master plan prepared by the California Marine and Intermodal Transportation System Advisory Council.

SB 1228 was CHAPTERED on September 29, 2014.

Senate Bill 1298 (Hernandez) – High Occupancy Toll Lanes

This LA Metro sponsored bill repeals LA Metro's authority to operate a value-pricing and transit development demonstration program on SR 10 and SR 110 involving HOT lanes and, instead, recasts its authority to conduct, administer, and operate the program indefinitely and generally under the same terms and conditions that governed the demonstration program. The bill additionally specifies requirements for agreements between the LACMTA, Caltrans, and the CHP that identify respective obligations and liability of each party relating to the program, and requires reimbursement of state agencies from toll revenue of the cost incurred in the implementation of the program and maintenance of State highway facilities in connection with the program. SCAG supported SB 1298 consistent with its adopted 2014 legislative priorities and in support of a stakeholder partner sponsored priority bill.

SB 1298 was CHAPTERED on September 21, 2014.

Senate Bill 1418 (DeSaulnier) – Vehicle Weight Fees: Transportation Bond Debt Service

This bill would have deleted provisions of existing law directing vehicle weight fees to the Transportation Debt Service Fund to pay for transportation-related general obligation bond debt, to restore funding for highways and local streets and roads as it existed prior to the 2010-11 gas tax swap. SCAG supported the bill, if amended, to clarify that a minimum percentage of funds from the State Highway Account be transferred to the State Transportation Improvement Program (STIP) consistent with that prior to the 2010-11 gas tax swap.

SB 1418 did not pass from the Legislature.

Additionally, although SCAG did not take formal positions, the following bills are considered by staff to be significant bills which either did or did not pass, listed according to policy areas that are consistent with the board-adopted 2014 State Legislative Priorities.

Financing, Economic Development and Community Reinvestment

Assembly Bill 2280 (Alejo) – Community Revitalization and Investment Authorities

A League of California Cities supported bill that would authorize certain local agencies to form a community revitalization authority to carry out provisions of the Community Redevelopment Law in that area for purposes related to infrastructure, affordable housing, and economic revitalization, and would provide for the financing of these activities by the issuance of bonds serviced by tax increment

revenues. The bill also provides for periodic audits of the authority with respect to affordable housing, conducted by the Controller, and for annual public reports by the authority.

AB 2280 was VETOED on September 29, 2014.

Senate Bill 628 (Beall) – Enhanced Infrastructure Financing Districts

One of the very few ‘post-RDA successor’ bills to pass the legislature and be signed by the Governor, this bill authorizes the legislative body of a city or a county, to establish an enhanced infrastructure financing district, adopt an infrastructure financing plan, and issue bonds, for which only the district is liable, upon approval by 55% of the voters; to finance public capital facilities or other specified projects of communitywide significance, including, but not limited to, brownfield restoration and other environmental mitigation; the development of projects on a former military base; the repayment of the transfer of funds to a military base reuse authority; the acquisition, construction, or rehabilitation of housing for persons of low and moderate income for rent or purchase; the acquisition, construction, or repair of industrial structures for private use; transit priority projects; and projects to implement a sustainable communities strategy.

SB 628 was CHAPTERED on November 29, 2014.

Cap-and-Trade Funding

Senate Bill 862 (Senate Budget & Finance Committee) – Greenhouse Gases; Emissions Reduction

This budget trailer bill was the principal vehicle for allocation of cap-and-trade funds for FY 2014-15; including \$130 million for Sustainable Communities and Housing; \$250 million for High Speed Rail; \$242 million for a variety of Energy, Water, Waste Diversion and Weatherization Programs; and additional funding and incentives for transit and intercity rail, and clean or zero emission vehicles.

SB 862 was CHAPTERED on June 20, 2014.

Water Bond

Three bills establishing in California a comprehensive, statewide system of groundwater management:

Assembly Bill 1739 (Dickerson) – Groundwater Management

This bill provides specific authority to a groundwater sustainability agency, as defined in SB 1168, to impose certain fees. The bill authorizes the State Department of Water Resources or a groundwater sustainability agency to provide technical assistance to entities that extract or use groundwater to promote water conservation and protect groundwater resources. This bill would require the department, by January 1, 2017, to publish on its Internet Web site best management practices for the sustainable management of groundwater, and would require the department to prepare and release a report by December 31, 2016, on the department’s best estimate of water available for replenishment of groundwater in the state.

AB 1739 was CHAPTERED on September 16, 2014.

Senate Bill 1168 (Pavley) – Groundwater Management

This bill establishes the policy of the State that groundwater resources be managed sustainably for long-term reliability and multiple economic, social, and environmental benefits for current and future beneficial uses. The bill would state that sustainable groundwater management is best achieved locally through the development, implementation, and updating of plans and programs based on the best available science. The bill contains other related provisions including a requirement that the State

REPORT

Department of Water Resources prioritize every basin in the State as high-, medium-, low-, or very low-priority by January 31, 2015; and numerous other requirements regarding groundwater sustainability plans for these basins.

SB 1168 was CHAPTERED on September 16, 2014.

Senate Bill 1319 (Pavley) - Groundwater

This bill authorizes the State Water Resources Control Board to designate certain high- and medium-priority basins as a probationary basin if, after January 31, 2025, the prescribed criteria are met, including a determination by the state board that the basin is in a condition where groundwater extractions result in significant depletions of interconnected surface waters. The bill adds to the prescribed determinations that would prevent the state board from designating the basin as a probationary basin for a specified time period, and requires the state board to exclude from probationary status any portion of a basin for which a groundwater sustainability agency demonstrates compliance with the sustainability goal. The bill also contains numerous other provisions.

SB 1319 was CHAPTERED on September 16, 2014.

ATTACHMENT:

California Legislative Matrix

SCAG California Legislative Matrix

October 2014

ENVIRONMENT

Salton Sea Restoration

Status: CHAPTERED

AB 148

Author
Perez, V.M.
Location
Chaptered
Next Hearing

Party
D

Bill Summary

Amends existing law that requires the Secretary of the Natural Resources Agency, in consultation and coordination with the Salton Sea Authority, to lead Salton Sea restoration efforts. Eliminates the requirement that the Secretary and the Legislature have final approval for any proposed restoration plan. Authorizes the Authority to lead a feasibility study. Prohibits a study or other activity from delaying the planning and implementation of ongoing and planned restoration projects.

Recent Activity

From Assembly: Passed (78-0) to Senate on 01/29/2014. From Senate: Passed (32-0), to Assembly for concurrence on 06/26/2014. Assembly concurred in Senate amendments, to Enrollment on 06/30/2014. Enrolled on 07/02/2014. To Governor on 07/09/2014. Signed by Governor, Chaptered by Secretary of State on 07/16/2014.

Positions

INFRASTRUCTURE FINANCING

AB 229

Author
Perez, J.
Location
Chaptered
Next Hearing

Party
D

Infrastructure and Revitalization Financing Districts

Bill Summary

Authorizes the creation by a city, county, city and county, and joint powers authority, of an infrastructure and revitalization financing district and the issuance of debt with voter approval. Authorizes the creation of a district and the issuance of debt. Authorizes a district to finance projects in redevelopment project areas and former redevelopment project areas and former military bases.

Status: CHAPTERED

Recent Activity

From Assembly: Passed (71-3) to Senate on 05/09/2013. From Senate: Passed (30-6), to Assembly for concurrence on 08/22/2013. From Assembly: To Inactive File on 09/11/2013. Assembly concurred in Senate amendments, to Enrollment on 08/27/2014. Enrolled on 08/29/2014. To Governor on 09/08/2014. Signed by Governor, Chaptered by Secretary of State on 09/29/2014.

Positions

ECONOMIC DEVELOPMENT

AB 337

Author
Allen
Location
Chaptered
Next Hearing

Party
R

Economic Development: International Trade: Investments

Bill Summary

Relates to economic development, international trade, investments and strategies for trade and investments. Requires a strategy to be based on current and emerging market conditions and the needs of investors, businesses, and workers to be competitive in global markets and to include a framework that enables the GO-Biz Office to evaluate the current needs of small and large firms. Authorizes the strategy, to the extent relevant and feasible, to be based on existing studies and reports.

Status: CHAPTERED

Recent Activity

From Assembly: Passed (76-1) to Senate on 01/27/2014. From Senate: Passed (34-0), to Assembly for concurrence on 08/25/2014. Assembly concurred in Senate amendments, to Enrollment on 08/26/2014. Enrolled on 08/29/2014. To Governor on 09/05/2014. Signed by Governor, Chaptered by Secretary of State on 09/29/2014.

Positions

REDEVELOPMENT

Redevelopment: Successor Agencies

Status: CHAPTERED

AB 471

Author
Atkins
Location
Chaptered
Next Hearing

Party
D

Bill Summary

Authorizes a infrastructure financing district to finance a project or portion of a project that is located in, or overlaps with, a redevelopment project area or former redevelopment project area. Authorizes a successor redevelopment agency to schedule Recognized Obligation Payment Schedule payments beyond the payment cycle upon a showing that a lender requires cash on hand beyond that cycle. Authorizes the use of estimates and projects to support payments. Relates to housing administrative costs funding.

Recent Activity

From Assembly: Passed (75-0) to Senate on 05/09/2013. From Senate: Passed (35-0), to Assembly for concurrence on 02/06/2014. Assembly concurred in Senate amendments, to Enrollment on 02/10/2014. Enrolled, to Governor on 02/12/2014. Signed by Governor, Chaptered by Secretary of State on 02/18/2014.

Positions

Assembly Committees: Accountability & Administrative Review (AAR) | Appropriations (A) | Arts, Entertainment, Sports, Tourism & Internet Media | Budget (B) | Housing & Community Development (HCD) | Jobs, Economic Development & The Economy (JEDE) | Local Government (LG) | Natural Resources (NR) | Revenue & Taxation (R&T) | Rules | Transportation (T) | Utilities & Commerce (U&C) | Water, Parks & Wildlife (WPW) | Senate Committees: Appropriations (A) | Business, Professions & Economic Development (BPED) | Education (EDU) | Elections & Constitutional Amendments (ECA) | Energy, Utilities & Communications (EUC) | Environmental Quality (EQ) | Governance & Finance (G&F) | Governmental Organization (GO) | Judiciary (J) | Labor & Industrial Relations (LIR) | Natural Resources & Water (NRW) | Rules (R) | Transportation & Housing (T&H)

SCAG California Legislative Matrix

October 2014

<p>INTERNATIONAL RELATIONS</p> <p>AB 690</p> <p>Author Campos</p> <p>Location Chaptered</p> <p>Next Hearing</p> <p>Positions CA League: Watch</p>	<p>State Government: International Relations</p> <p>Bill Summary Repeals and recasts the provisions of existing law that requires the California-Mexico Border Relations Council to coordinate activities of State agencies that are related to cross-border programs, initiatives, projects, and partnerships that exist within State government.</p>	<p>Status: CHAPTERED</p> <p>Recent Activity From Assembly: Passed (78-0) to Senate on 01/29/2014. From Senate: Passed (36-0), to Assembly for concurrence on 08/13/2014. Assembly concurred in Senate Amendments, to Enrollment on 08/19/2014. Enrolled on 08/21/2014. To Governor on 09/02/2014. Signed by Governor, Chaptered by Secretary of State on 09/28/2014.</p>
<p>TRANSPORTATION: BUS WEIGHT</p> <p>AB 1720</p> <p>Author Bloom</p> <p>Location Chaptered</p> <p>Next Hearing</p> <p>Positions OCTA: Support SANBAG: Support VCTC: Support</p>	<p>Vehicles: Bus Gross Weight</p> <p>Bill Summary Amends existing law that prohibits a publicly owned or operated transit system from procuring a transit bus whose weight on any single axis exceeds a specified weight. Extends the provision that exempts from this prohibition a transit system that is procuring a new bus that is of the same or lesser weight than the bus it is replacing, or if it is incorporating a new fleet class. Authorizes a transit system to procure a transit bus of a certain weight if it is incorporating a new fleet class expansion.</p>	<p>Status: CHAPTERED</p> <p>Recent Activity From Assembly: Passed (71-0) to Senate on 05/08/2014. From Senate: Passed (35-0), to Assembly for concurrence on 07/03/2014. Assembly concurred in Senate amendments, to Enrollment on 08/07/2014. Enrolled on 08/09/2014. To Governor on 08/13/2014. Signed by Governor, Chaptered by Secretary of state on 08/22/2014.</p>
<p>TRANSPORTATION</p> <p>AB 1721</p> <p>Author Linder</p> <p>Location Chaptered</p> <p>Next Hearing</p> <p>Positions RCTC: Sponsor</p>	<p>Vehicles: High-Occupancy Vehicle Lanes</p> <p>Bill Summary Amends existing law that exempts a vehicle, eligible under these provisions to use high occupancy vehicle lanes, from toll charges imposed on single-occupant vehicles in designated high-occupancy toll lanes unless prohibited by federal law. Grants a vehicle, eligible under these provisions to use HOV lanes, a toll-free or reduced-rate passage in HOT lanes.</p>	<p>Status: CHAPTERED</p> <p>Recent Activity From Assembly: Passed (72-2) to Senate on 05/01/2014. From Senate: Passed (36-0), to Assembly for concurrence on 08/11/2014. To Enrollment on 08/14/2014. Enrolled on 08/15/2014. To Governor on 08/21/2014. Signed by Governor, Chaptered by Secretary of State on 09/21/2014.</p>
<p>ENTERTAINMENT TAX CREDIT</p> <p>AB 1839</p> <p>Author Gatto/Bocanegra</p> <p>Location Chaptered</p> <p>Next Hearing</p> <p>Positions</p>	<p>Income Taxes: Qualified Motion Pictures</p> <p>Bill Summary Extends the program for five additional years. This bill would, as compared to the existing tax credits, extend the scope of the credits for a qualified motion picture to the applicable percentage of qualified expenditures up to \$100,000,000, would extend the credit to qualified expenditures for television pilot episodes and qualified expenditures relating to music scoring and music editing, and would determine an applicable percentage of 25% or 20% for qualified expenditures for television series relocating to California based on the number of years the series has received the credit since relocation to California and where in California photography occurs. This bill would limit the aggregate amount of these new credits to be allocated in each fiscal year to an unspecified amount, and would also set aside specific credit allocation amounts for each fiscal year for independent films and for television series that relocate to California.</p>	<p>Status: CHAPTERED</p> <p>Recent Activity From Assembly: Passed (76-0) to Senate on 05/28/2014. From Senate: Passed (34-2), to Assembly for concurrence on 08/29/2014. Assembly concurred in Senate amendments, to Enrollment on 08/29/2014. Enrolled on 09/05/2014. To Governor on 09/09/2014. Signed by Governor, Chaptered by Secretary of State on 09/18/2014.</p>

Assembly Committees: Accountability & Administrative Review (AAR) | Appropriations (A) | Arts, Entertainment, Sports, Tourism & Internet Media | Budget (B) | Housing & Community Development (HCD) | Jobs, Economic Development & The Economy (JEDE) | Local Government (LG) | Natural Resources (NR) | Revenue & Taxation (R&T) | Rules | Transportation (T) | Utilities & Commerce (U&C) | Water, Parks & Wildlife (WPW) | Senate Committees: Appropriations (A) | Business, Professions & Economic Development (BPED) | Education (EDU) | Elections & Constitutional Amendments (ECA) | Energy, Utilities & Communications (EUC) | Environmental Quality (EQ) | Governance & Finance (G&F) | Governmental Organization (GO) | Judiciary (J) | Labor & Industrial Relations (LIR) | Natural Resources & Water (NRW) | Rules (R) | Transportation & Housing (T&H)

SCAG California Legislative Matrix

October 2014

REDEVELOPMENT

Redevelopment

Status: CHAPTERED

AB 1963

Author
Atkins
Location
Chaptered
Next Hearing

Party
D

Bill Summary

Relates to existing law that requires successor agencies to wind down the affairs of dissolved redevelopment agencies and which suspends such requirement, except as it applies to the transfer of assets and properties for governmental use, until the Department of Finance has approved a long-range property management plan. Provides the condition that requires the property of a former redevelopment agency to be disposed of according to law. Regards the transfer of agency assets.

Recent Activity

From Assembly: Urgency Clause adopted, passed (78-0) to Senate on 05/15/2014. From Senate: Passed (32-0), to Assembly for concurrence on 06/26/2014. Assembly concurred in Senate amendments, to Enrollment on 06/30/2014. Enrolled on 07/02/2014. To Governor on 07/09/2014. Signed by Governor, Chaptered by Secretary of State on 07/18/2014.

Positions

GOODS MOVEMENT

Transit Village Plans: Goods Movement

Status: CHAPTERED

AB 2008

Author
Quirk
Location
Chaptered
Next Hearing

Party
D

Bill Summary

Amends existing law that authorizes a city or county to prepare a transit village plan for a transit village development district that addresses specified characteristics including demonstrable public benefits. Requires the plan to address demonstrable public benefits beyond the increase in transit usage including a number of specified benefits. Adds as a benefit the minimization of the impact of goods movement on air quality, traffic, and public safety through dedicated loading and unloading facilities.

Recent Activity

From Assembly: Passed (68-0) to Senate on 05/19/2014. From Senate: Passed (32-0), to Enrollment on 06/26/2014. Enrolled on 06/30/2014. To Governor on 07/02/2014. Signed by Governor, Chaptered by Secretary of State on 07/07/2014.

Positions

REDEVELOPMENT

Community Revitalization and Investment Authorities

Status: VETOED

AB 2280

Author
Alejo
Location
Vetoed
Next Hearing

Party
D

Bill Summary

Authorizes certain local agencies to form a community revitalization authority within a community revitalization and investment area, to carry out provisions of the Community Redevelopment Law. Provides for the financing of these activities by the issuance of bonds serviced by tax increment revenues. Provides for periodic audits of the authority with respect to affordable housing.

Recent Activity

From Assembly: Passed (57-12) to Senate on 05/08/2014. From Senate: Passed (25-7), to Assembly for concurrence on 08/22/2014. Assembly concurred in Senate amendments, to Enrollment on 08/27/2014. Enrolled on 09/07/2014. To Governor on 09/08/2014. Vetoed by Governor on 09/29/2014.

Positions

REDEVELOPMENT

Redevelopment Dissolution: Housing Projects: Bonds

Status: VETOED

AB 2493

Author
Bloom
Location
Vetoed
Next Hearing

Party
D

Bill Summary

Amends existing law which dissolved redevelopment agencies and community development agencies and provided for the designation of successor agencies to wind down the affairs of such agencies and to make payments due for certain obligations. Relates to the use of proceeds from indebtedness issued for affordable housing purposes. Authorizes a successor housing entity to designate the use of such proceeds for projects meeting certain criteria. Provides for the expenditure of certain excess bond proceeds.

Recent Activity

From Assembly: Passed (75-1) to Assembly on 05/27/2014. From Senate: Passed (21-8), to Assembly for concurrence on 08/28/2014. Assembly concurred in Senate amendments, to Enrollment on 08/28/2014. Enrolled on 09/05/2014. To Governor on 09/10/2014. Vetoed by Governor on 09/29/2014.

Positions

SCAG California Legislative Matrix

October 2014

TRIPLE BIKE RACKS

Vehicle: Buses: Bicycle Transportation Devices

Status: CHAPTERED

AB 2707

Author
Chau
Location
Chaptered
Next Hearing
Returned to Senate
Positions

Party
D

Bill Summary

Existing law imposes a 40-foot limitation on the length of vehicles that may be operated on the highways, with specified exemptions. Existing law exempts from this limitation a bus, except a schoolbus, operated by a public agency or a passenger stage corporation, as defined, used in transit system service if the bus is equipped with a folding device attached to the front of the bus that is designed and used exclusively for transporting bicycles, that device does not materially affect efficiency or visibility of vehicle safety equipment, and the length of the bus, exclusive of that device, does not exceed 40 feet in length. In addition, existing law prohibits the above-described device from extending more than 36 inches from the front body of the bus when fully deployed, and prohibits a bicycle that is transported on that device from having the bicycle handlebars extend more than 42 inches from the front of the bus. This bill would increase the lengths described in the exemption above from 36 to 40 inches, and from 42 to 46 inches.

Recent Activity

From Assembly: Passed (74-0) to Senate on 05/01/2014. From Senate: Passed (36-0), to Assembly for concurrence on 06/30/2014. From Assembly: Ordered returned to Senate on 07/03/2014. From Senate: Ordered returned to Assembly on 08/18/2014. Assembly concurred in Senate amendments, to Enrollment on 08/19/2014. Enrolled on 08/22/2014. To Governor on 09/03/2014. Signed by Governor, Chaptered by Secretary of State on 09/09/2014.

VEHICLE LICENSE FEE

Property Tax Revenue: Vehicle License Fee

Status: VETOED

SB 69

Author
Roth
Location
Vetoed
Next Hearing

Party
D

Bill Summary

Amends existing law that requires each city, county, and city and county receive additional property tax revenues in the form of a vehicle license fee adjustment amount for a Vehicle License Fee Property Tax Compensation Fund in each county treasury. Modifies the reduction and transfer provisions for the a specified fiscal year and for each year thereafter by providing for a vehicle license fee adjustment calculated on the basis of changes in assessed valuation.

Recent Activity

From Senate: Passed (30-6) to Assembly on 05/30/2013. From Assembly: Passed (76-0), to Senate for concurrence on 08/20/2014. Senate concurred in Assembly amendments, to Enrollment on 08/21/2014. Enrolled on 08/25/2014. To Governor on 08/28/2014. Vetoed by Governor on 09/28/2014.

Positions
SCAG: Support

ECONOMIC DEVELOPMENT

Redevelopment

Status: VETOED

SB 133

Author
DeSaulnier
Location
Vetoed
Next Hearing

Party
D

Bill Summary

Amends the Community Redevelopment Law. Requires a redevelopment agency to include additional information relating to any major audit violations, any corrections of those violations, and planning and general administrative expenses of the Low and Moderate Income Housing Fund. Authorizes quality control reviews by the Controller and the publishing of those reviews. Requires audits of such agencies to ensure compliance with the law. Relates to funding for housing construction and/or rehabilitation.

Recent Activity

From Senate: Passed (38-0) to Assembly on 04/08/2013. From Assembly: Passed (77-0), to Senate for concurrence on 09/04/2013. From Senate: To Inactive File on 09/10/2013. Senate concurred in Assembly amendments, to Enrollment 08/28/2014. Enrolled on 09/02/2014. To Governor on 09/05/2014. Vetoed by Governor on 09/29/2014.

Positions

CALTRANS

Department of Transportation: Goals and Performance

Status: CHAPTERED

SB 486

Author
DeSaulnier
Location
Chaptered
Next Hearing

Party
D

Bill Summary

Authorizes the State Transportation Commission to prescribe study areas for analysis and evaluation by the Department of Transportation and to establish guidelines for the State Transportation Plan. Requires the Department to submit to the Commission an interregional transportation strategic plan directed at a high-functioning and balanced interregional transportation system and to prepare an assess management plan to guide for state highway operation and protection including budgeting.

Recent Activity

From Senate: Passed (39-0) to Assembly on 05/28/2014. From Assembly: Passed (79-0), to Senate for concurrence on 08/25/2014. Re-referred to Rules on 08/26/2014. Re-referred to Transportation & Housing on 08/26/2014. From Transportation & Housing: Recommend concurrence in Assembly amendments on 08/27/2014. Senate concurred in Assembly amendments, to Enrollment on 08/28/2014. Enrolled on 09/02/2014. Signed by Governor, Chaptered by Secretary of State on 09/30/2014.

Positions

SCAG California Legislative Matrix

October 2014

ENVIRONMENT

Short-Lived Climate Pollutants

Status: CHAPTERED

SB 605

Bill Summary

Requires the State Air Resources Board to complete a comprehensive strategy to reduce emissions of short-lived climate pollutants in the State.

Recent Activity

From Senate: Passed (27-11) to Assembly on 05/29/2013. From Assembly: Passed (42-29), to Senate for concurrence. Re-referred to Rules on 08/21/2014. Re-referred to Environmental Quality on 08/21/2014. From Environmental Quality: Recommend concurrence in Assembly amendments on 08/25/2014. From Senate: Ordered returned to Assembly on 08/29/2014. From Assembly: Passed (53-24), to Senate for concurrence on 08/29/2014. Senate concurred in Assembly amendments, to Enrollment on 08/29/2014. Enrolled on 09/04/2014. To Governor on 09/08/2014. Signed by Governor, Chaptered by Secretary of State on 09/21/2014.

Author
Lara

Party
D

Location
Chaptered

Next Hearing

Positions
CA League: Watch

INFRASTRUCTURE FINANCE DISTRICTS

Enhanced Infrastructure Financing Districts

Status: CHAPTERED

SB 628

Bill Summary

Authorizes a local government body to establish an enhanced infrastructure financing district to finance capital facilities and other project to include brownfield restoration, projects on a former military base, and low and moderate income housing. Requires specific events to occur before the district can implement a financing plan. Authorizes projects through tax increment financing. Authorizes projects that are located or overlap in a redevelopment area or a former redevelopment project area.

Recent Activity

From Senate: Passed (24-11) to Assembly on 05/20/2013. From Assembly: Passed (46-29), to Senate for concurrence on 08/08/2013. Senate concurred in Assembly amendments, to Enrollment on 08/15/2013. Withdrawn from Enrollment on 08/19/2014. From Senate: Ordered returned to Assembly on 07/03/2014. Re-referred to Assembly Committee on Rules on 08/07/2014. Re-referred to Local Government on 08/26/2014. Author's amendments, re-referred to Local Government on 08/26/2014. From Local Government: Do pass (5-2) on 08/27/2014. From Assembly: Passed (44-31), to Senate for concurrence on 08/29/2014. Re-referred to Senate Committee on Governance & Finance on 08/29/2014. From Governance & Finance: Recommend concurrence in Assembly amendments on 08/29/2014. Senate concurred in Assembly amendments, to Enrollment on 08/30/2014. Enrolled on 09/07/2014. To Governor on 09/09/2014. Signed by Governor, Chaptered by Secretary of State on 09/29/2014.

Author
Beall

Party
D

Location
Chaptered

Next Hearing

Positions

CAP & TRADE

Greenhouse Gases: Emissions Reduction

Status: CHAPTERED

SB 862

Bill Summary

Includes a greenhouse gas reduction loan program, low-income weatherization program, high-speed rail funding, transit and intercity rail funding and transit agency emission reduction, state building energy retrofitting, timberland environmental impact report funding, state forestry appointments, the Forest Legacy Program, clean vehicle rebates and zero emission vehicle vouchers, and the export of electricity from wind technologies of customer-generators.

Recent Activity

From Senate: Passed (22-12) to Assembly on 04/10/2014. From Assembly: Passed (53-26), to Assembly for concurrence on 06/15/2014. Senate concurred in Assembly amendments, to Enrollment on 06/15/2014. Enrolled on 06/18/2014. To Governor on 06/19/2014. Signed by Governor, Chaptered by Secretary of State on 06/20/2014.

Author
Senate B&FR

Party

Location
Chaptered

Next Hearing

Positions

SCAG California Legislative Matrix

October 2014

<p>TRANSPORTATION: PARKING</p> <p>SB 953</p> <p>Author Roth</p> <p>Location Chaptered</p> <p>Next Hearing</p> <p>Positions RTC: Sponsor SANBAG: Support</p>	<p>Vehicles: Parking: Public Grounds</p> <p>Bill Summary Amends existing law prohibiting a person from driving a vehicle or animal, or stopping, or leaving standing a vehicle or animal, whether attended or not, upon the driveways, paths, parking facilities, or ground of specified public entities, including a transit district and a public transportation agency, except with the permission of, and upon and subject to specified conditions, of the governing body of a specified public body. Includes specified county transportation commissions with the listed entities.</p>	<p>Status: CHAPTERED</p> <p>Recent Activity From Senate: Passed (32-0) to Assembly on 05/23/2014. From Assembly: Passed (77-0), to Enrollment on 08/07/2014. Enrolled on 08/09/2014. To Governor on 08/11/2014. Signed by Governor, Chaptered by Secretary of state on 08/15/2014.</p>
<p>TRANSPORTATION</p> <p>SB 969</p> <p>Author DeSaulnier</p> <p>Location Vetoed</p> <p>Next Hearing</p> <p>Positions SANBAG: Oppose Unless Amended</p>	<p>Public Works</p> <p>Bill Summary Authorizes these provisions to be known and cited as the Public Works Project Overview Improvement Act. Defines a megaproject as a transportation project with total estimated development and construction costs exceeding \$1,000,000,000. Requires the agency administering a megaproject to establish a peer review group and to take specified actions to manage the risks associated with a megaproject including establishing a comprehensive risk management plan, and regularly reassessing its reserves.</p>	<p>Status: VETOED</p> <p>Recent Activity Introduced on 02/10/2014. To Senate Committee on Transportation & Housing on 02/20/2014. Passed Senate (35-0) on May 28, 2014. Passed Assembly (76-0), 08/21/2014. Passed Senate concurring in Assembly Amendments (33-0), 08/22/2014. To Governor on 08/28/2014. Vetoed by Governor on 09/30/2014. In Senate, consideration of Governor's veto pending.</p>
<p>LACMTA TRANS. & USE TAX</p> <p>SB 1037</p> <p>Author Hernandez</p> <p>Location Chaptered</p> <p>Next Hearing</p> <p>Positions</p>	<p>Los Angeles County MTA: Transactions and Use Tax</p> <p>Bill Summary Requires the Los Angeles County Metropolitan Transportation Authority, before submitting an ordinance or amended ordinance to voters, to amend an expenditure plan previously prepared for certain transactions and use taxes with respect to matters relating to certain projects and programs, and to develop a transparent process to determine certain cost estimates. Requires the MTA to include the amended plan in the Long Range Transportation Plan. Requires such plan to include certain projects and programs.</p>	<p>Status: CHAPTERED</p> <p>Recent Activity From Senate: Passed (31-2) to Assembly on 05/12/2014. From Assembly: Passed (76-0), to Senate for concurrence on 06/30/2014. Senate concurred in Assembly amendments, to Enrollment on 08/04/2014. Enrolled on 08/05/2014. To Governor on 08/07/2014. Signed by Governor, Chaptered by Secretary of State on 08/15/2014.</p>
<p>TRANSPORTATION: VMT</p> <p>SB 1077</p> <p>Author DeSaulnier</p> <p>Location Chaptered</p> <p>Next Hearing</p> <p>Positions</p>	<p>Vehicles: Road Usage Charge Pilot Program</p> <p>Bill Summary Requires the Chair of the State Transportation Commission to create a Road Usage Charge Technical Advisory Committee to study the charge alternatives to the gas tax and make recommendations on the design of a pilot program. Authorizes the Committee to make recommendations on the criteria to be used to evaluate the program. Requires the preparation and submission of a program related report to Legislative committees. Requires the Commission to include same in its annual report to the Legislature.</p>	<p>Status: CHAPTERED</p> <p>Recent Activity From Senate: Passed (23-11) to Assembly on 05/27/2014. From Assembly: Passed (46-26), to Senate for concurrence on 08/25/2014. Senate concurred in Assembly amendments, to Enrollment on 08/26/2014. Enrolled on 08/28/2014. To Governor on 09/05/2014. Signed by Governor, Chaptered by Secretary of State on 09/29/2014.</p>

SCAG California Legislative Matrix

October 2014

REDEVELOPMENT		Successor Agencies to Redevelopment Agencies	Status: VETOED
SB 1129		Bill Summary Relates to the procedures of an successor redevelopment agency regarding the receipt of a finding of completion of a project entered into by the previous redevelopment agency. Relates to the recalculation of the accumulated on the remaining balance of a loan. Relates to the rejection of an enforceable obligation from a recognized obligation payment scheduled for a successor agency that has received a finding of completion. Provides an agency officer or employee may acquire an interest in project property.	Recent Activity From Senate: Passed (27-8) to Assembly on 05/28/2014. From Assembly: Passed (58-17), to Senate for concurrence on 08/27/2014. Senate concurred in Assembly amendments, to Enrollment on 08/29/2014. Enrolled on 09/05/2014. To Governor on 09/08/2014. Vetoed by Governor on 09/29/2014.
Author Steinberg	Party D		
Location Vetoed			
Next Hearing			
Positions			
GOODS MOVEMENT		Trade Corridors Improvement Fund	Status: CHAPTERED
SB 1228		Bill Summary Provides for the continuation of the Trade Corridors Improvement Fund for the purpose of receipt and expenditure of revenues from sources other than a specified bond act. Provides for allocation of those revenues for similar purposes as the bond act, but specifically for infrastructure improvements that benefit the State's land ports of entry, seaports, and airports. Requires funded projects to be subject to specified requirements related to the Greenhouse Gas Reduction Fund.	Recent Activity From Senate: Passed (35-1) to Assembly on 05/27/2014. From Assembly: Passed (79-0), to Senate for concurrence on 08/28/2014. Senate concurred in Assembly amendments, to Enrollment on 08/29/2014. Enrolled on 09/04/2014. To Governor on 09/08/2014. Signed by Governor, Chaptered by Secretary of State on 09/29/2014.
Author Hueso	Party D		
Location Chaptered			
Next Hearing			
Positions SANBAG: Support With Amendments			
TRANSPORTATION: HOT LANES		High Occupancy Toll Lanes	Status: CHAPTERED
SB 1298		Bill Summary Revises and recasts provisions authorizing a value-pricing and transit development demonstration program involving high-occupancy toll lanes to be conducted, administered, developed, and operated on specified State highway routes. Specifies additional requirements for agreements between specified agencies. Requires the agreements to provide for reimbursement of agencies from toll revenues for costs incurred in the implementation or operation of the program and maintenance of specified facilities.	Recent Activity From Senate: Passed (31-0) to Assembly on 05/27/2014. From Assembly: Passed (70-7), to Senate for concurrence on 08/21/2014. Senate concurred in Assembly amendments, to Enrollment on 08/22/2014. Enrolled on 08/25/2014. To Governor on 08/28/2014. Signed by Governor, Chaptered by Secretary of State on 09/21/2014.
Author Hernandez, E.	Party D		
Location Chaptered			
Next Hearing			
Positions SCAG: Support			
TRANSPORTATION		Local Agency Public Construction: Design-Build Contract	Status: CHAPTERED
SB 1433		Bill Summary The Local Agency Public Construction Act until January 1, 2015, authorizes a transit operator, as defined, to enter into a design-build contract, as specified. Existing law requires certain information submitted in this regard to be provided under penalty of perjury. This bill would extend the authorization for a transit operator to enter into a design-build contract until January 1, 2017. Because the bill would expand the crime of perjury, it would impose a state-mandated local program.	Recent Activity From Senate: Passed (35-0) to Assembly on 05/08/2014. From Assembly: Passed (78-0), to Senate for concurrence on 08/28/2014. Senate concurred in Assembly amendments, to Enrollment on 08/29/2014. Enrolled on 09/05/2014. To Governor on 09/08/2014. Signed by Governor, Chaptered by Secretary of State on 09/30/2014.
Author Hill	Party D		
Location Chaptered			
Next Hearing			
Positions OCTA: Support SANBAG: Support			

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REPORT

DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer; (213) 236-1817; panas@scag.ca.gov

SUBJECT: CFO Monthly Report

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:

For Information Only-No Action Required.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan Goal, 3: Enhance the Agency's Long Term Financial Stability and Fiscal Management.

MEMBERSHIP DUES:

As of October 13, 2014, 172 cities and counties have renewed their membership while 24 cities and counties have not yet renewed. Memberships not renewed by January 1, 2015, will receive a written notice of delinquency. SCAG is assisting members to facilitate timely renewals.

BUDGET & GRANTS (B&G):

On October 4, 2014, B&G staff submitted budget amendment 2 to the FY 2014-15 Overall Work Program (OWP) to Caltrans for their review and recommended approval. SCAG anticipates final FHWA/FTA approval in December 2014.

On October 20, 2014, B&G staff began the preparation of the FY 2015-16 Comprehensive Budget. The Consolidated Budget Development System (CBDS) has been upgraded to provide better user-friendly access. B&G staff provided training on the upgraded CBDS to all project managers.

On October 22, 2014, B&G staff submitted the 1st Quarter Progress Report for FY 2014-15 to Caltrans for review.

CONTRACTS:

On September 2014, the Contracts Department issued one (1) Request for Proposal (RFP); three (3) contracts; three (3) contract amendments; and 53 Purchase Orders to support ongoing business and enterprise operations. Staff also administered 101 consultant contracts.

Contracts staff continued to negotiate better pricing and reduced costs for services. During the month of September 2014, over \$846.00 in budget savings was realized, bringing the FY 2014-15 total to approximately \$105,755.

ATTACHMENT:

September 2014 CFO Monthly Status Report



SOUTHERN CALIFORNIA
ASSOCIATION of GOVERNMENTS

Office of the Chief Financial Officer

Monthly Status Report

SEPTEMBER 2014



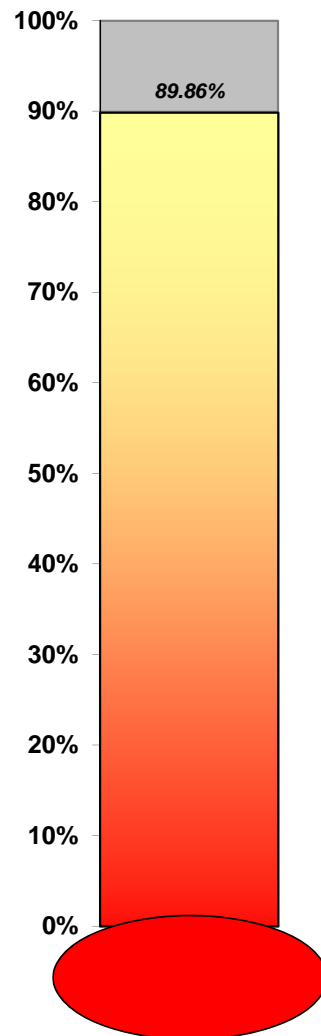
OVERVIEW

As of October 13, 2014, 172 cities and counties have renewed their membership while 24 cities and counties have not yet renewed. No cities' dues have been waived and there is one (1) city in the SCAG region which is still being recruited for membership.

SUMMARY

FY15 Membership Dues	<u>\$1,912,751.73</u>
Total Collected	<u>\$1,718,765.30</u>
Percentage Collected	<u>89.86%</u>

FY15 Membership Dues Collected

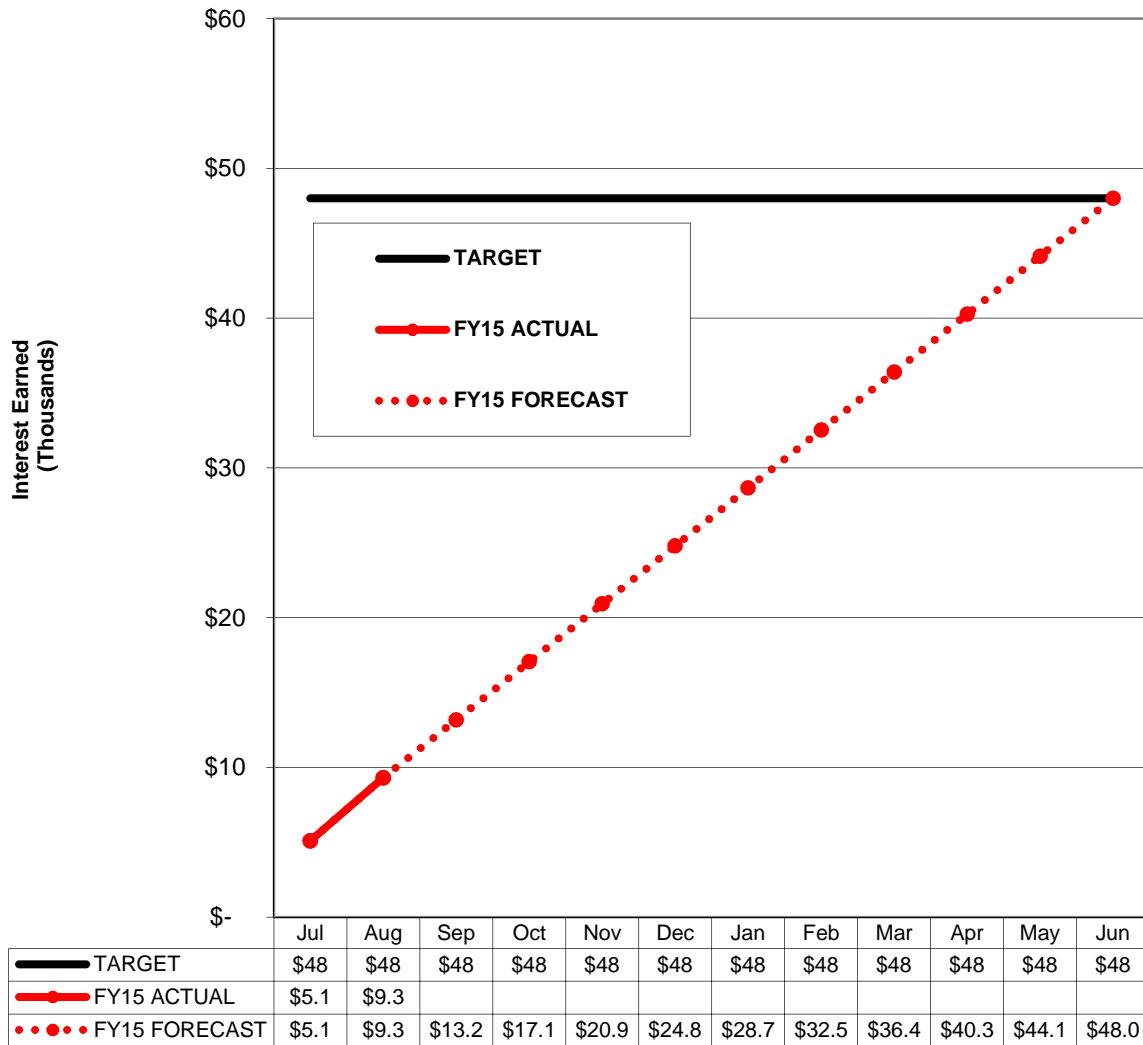


Office of the CFO
Interest Earnings Variance



**SOUTHERN CALIFORNIA
ASSOCIATION of GOVERNMENTS**

INTEREST EARNINGS VARIANCE



OVERVIEW

Actual interest income is plotted against the target amount. The amount earned through August was \$9,313. The LA County Pool earned 0.76% in August.

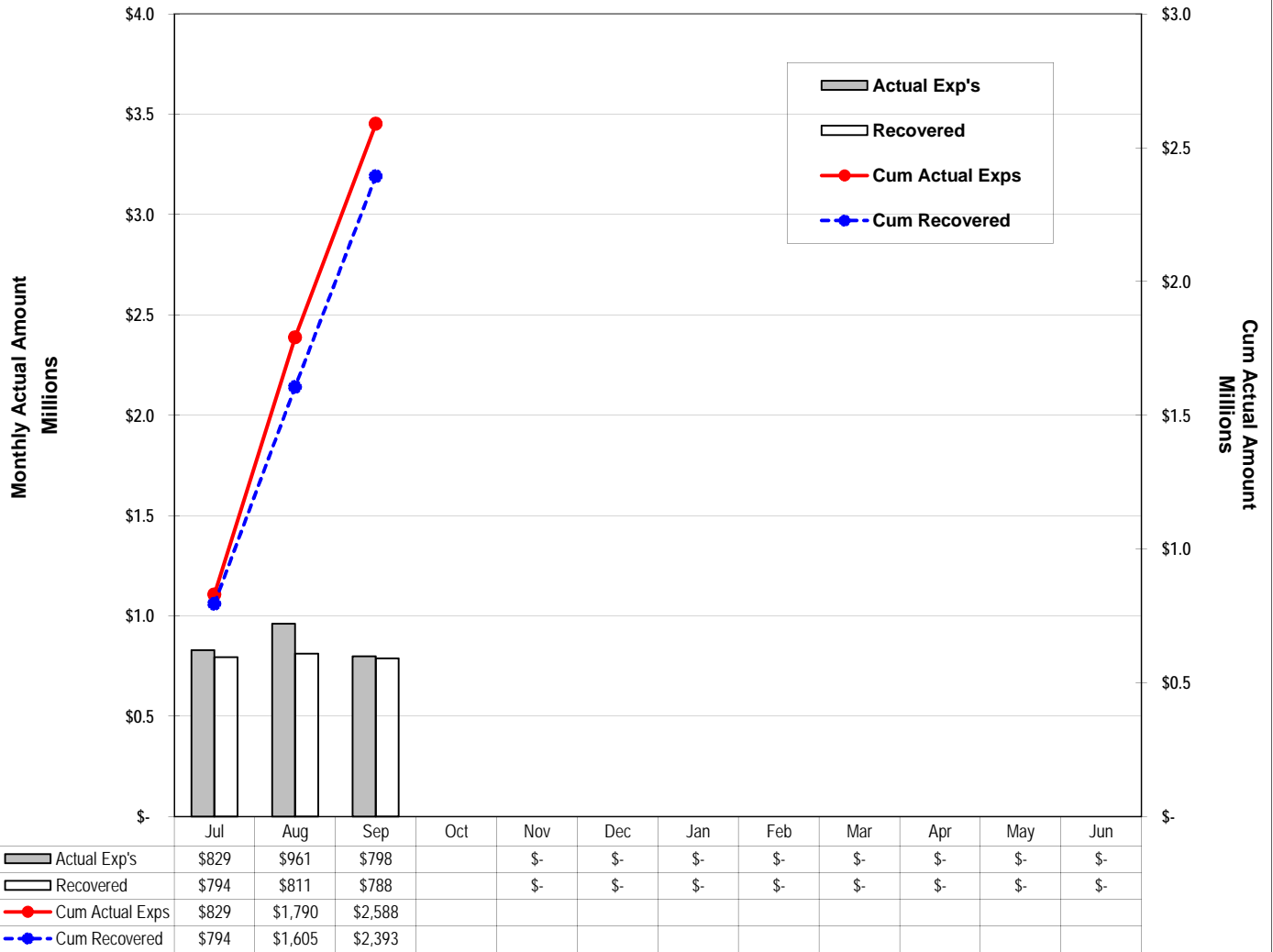
SUMMARY

The amount projected for FY15 is \$48,000.



SOUTHERN CALIFORNIA
ASSOCIATION of GOVERNMENTS

FY15 INDIRECT COST & RECOVERY



OVERVIEW

A comparison of Indirect Cost (IC), incurred by SCAG vs. IC recovered from SCAG's grants.

SUMMARY

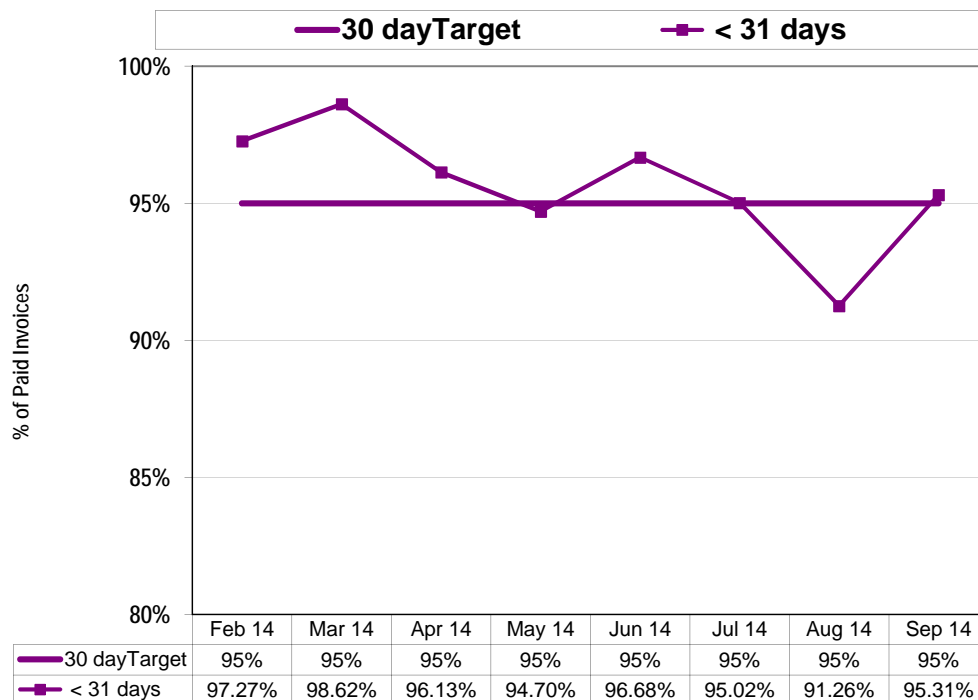
Through September 2014, SCAG was under-recovered by \$195,349 due to lower than budgeted labor charges.

Office of the CFO
Invoice Aging



SOUTHERN CALIFORNIA
ASSOCIATION of GOVERNMENTS

INVOICE AGING



OVERVIEW

The percent of total invoices paid within 30 days. The target is to pay 95% of all invoices within 30 days. This goal was met.

SUMMARY

95.31% of September 2014's payments were made within 30 days of invoice receipt.

At month-end, 46 invoices remained unpaid less than 30 days.

Actual

OVERVIEW

The percent of total invoices paid within 60 and 90 days. The target is to pay 98% of invoices within 60 days and 100% within 90 days.

SUMMARY

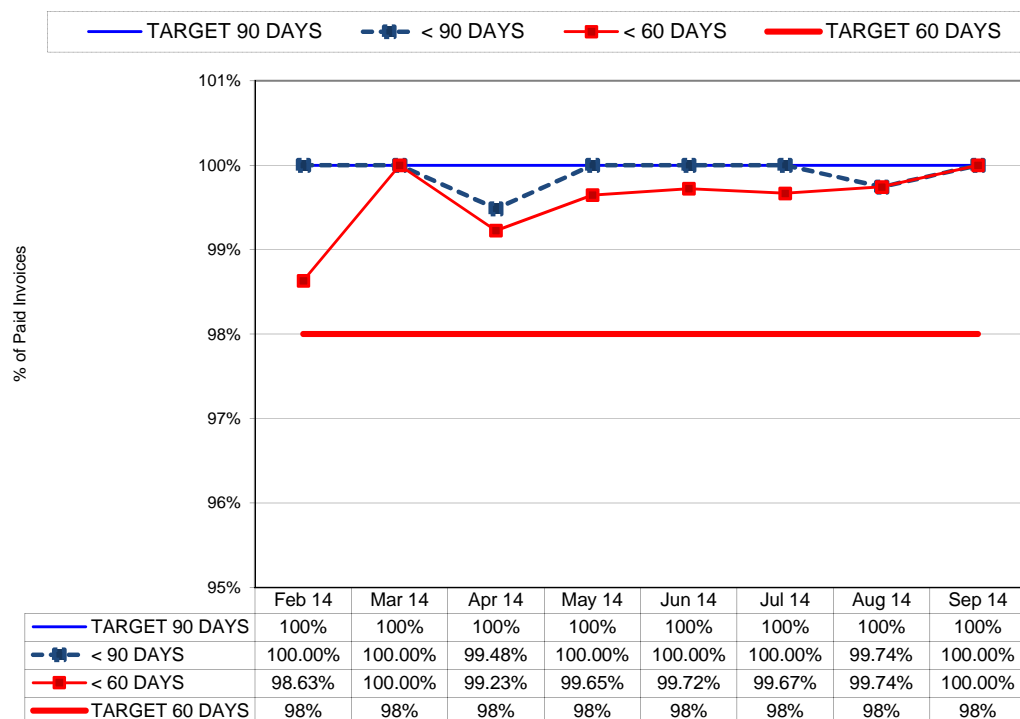
These goals were met during this period.

100.00% of September 2014's payments were within 60 days of invoice receipt and 100.00% within 90 days. Invoices unpaid 30-60 days totaled 17; 60-90 days: 0; >90 days: 0.



SOUTHERN CALIFORNIA
ASSOCIATION of GOVERNMENTS

INVOICE AGING



Office of the CFO
Consolidated Balance Sheet

	8/31/2014	9/30/2014	Incr (decr) to equity	COMMENTS
Cash at Bank of the West	\$ 2,994,656	\$ 989,498		
LA County Investment Pool	\$ 10,717,866	\$ 10,717,866		
Cash & Investments	\$ 13,712,522	\$ 11,707,364	\$ (2,005,158)	One month's CPG payment was not posted yet at Sep 30
Accounts Receivable	\$ 6,763,385	\$ 9,006,991	\$ 2,243,606	Sep has three CPG billings outstanding, August two.
Fixed Assets - Net Book Value	\$ 657,061	\$ 657,061	\$ -	No change
Total Assets	\$ 21,132,968	\$ 21,371,416	\$ 238,448	
Accounts Payable	\$ (369,703)	\$ (528,791)	\$ (159,087)	More consultant activity
Employee-related Liabilities	\$ (287,753)	\$ (302,132)	\$ (14,379)	Sep had 7 unpaid days, Aug only 5
Other Current Liabilities	\$ (793,879)	\$ (1,079,212)	\$ (285,333)	Prepaid expenses at June 30 were expensed
Deferred Revenue	\$ (688,447)	\$ (688,447)	\$ -	No change
Total Liabilities and Deferred Revenue	\$ (2,139,784)	\$ (2,598,583)	\$ (458,799)	
Fund Balance	\$ 18,993,185	\$ 18,772,833	\$ (220,351)	
			-	
WORKING CAPITAL				
	8/31/2014	9/30/2014	Incr (decr) to working capital	
Cash	\$ 13,712,522	\$ 11,707,364	\$ (2,005,158)	
Accounts Receivable	\$ 6,763,385	\$ 9,006,991	\$ 2,243,606	
Accounts Payable	\$ (369,703)	\$ (528,791)	\$ (159,087)	
Employee-related Liabilities	\$ (287,753)	\$ (302,132)	\$ (14,379)	
Working Capital	\$ 19,818,450	\$ 19,883,432	\$ 64,982	

Office of the CFO
Fiscal Year-To-Date Expenditure Report Through September 30, 2014



COMPREHENSIVE BUDGET

		Adopted Budget	Amended Budget	Expenditures	Commitments	Budget Balance	% Budget Spent
1	Staff & Allocated Fringe Benefits	369,802	369,802	24,882		344,920	6.7%
2	51001 Allocated Indirect Costs	276,634	276,634	18,552		258,082	6.7%
3	54300 SCAG Consultants	528,440	528,440	14,000	514,440	0	2.6%
4	54340 Legal costs	120,000	120,000	9,960	93,898	16,142	8.3%
5	55441 Payroll, bank fees	15,000	15,000	1,034		13,966	6.9%
6	55510 Office Supplies	15,000	15,000	3,275	11,725	0	21.8%
7	55600 SCAG Memberships	27,000	27,000	4,620	20,000	2,380	17.1%
8	55610 Professional Membership	12,719	12,719	4,133	2,206	6,380	32.5%
9	55730 Capital Outlay	542,106	542,106	-		542,106	0.0%
10	55860 Scholarships	14,000	14,000	12,000		2,000	85.7%
12	55910 RC/Committee Mtgs		4,171	4,171	0	0	100.0%
13	55912 RC Retreat		3,162	3,162	0	0	100.0%
14	55914 RC General Assembly	400,000	400,000	15,000	155,198	229,802	3.8%
16	55916 Economic Summit	50,000	50,000	-	38,500	11,500	0.0%
17	55917 Labor Summit	7,000	7,000	-	1,502	5,498	0.0%
18	55920 Other Meeting Expense	50,000	42,667	23,100	19,567	0	54.1%
19	55930 Miscellaneous other	11,000	11,000	1,536	9,464	0	14.0%
20	55940 Stipend - RC Meetings	211,440	211,440	30,100	0	181,340	14.2%
22	56100 Printing	6,000	6,000	328	3,897	1,775	5.5%
23	58100 Travel - outside SCAG region	35,000	35,000	2,083	0	32,917	6.0%
24	58101 Travel - local	26,000	26,000	1,828	0	24,172	7.0%
25	58110 Mileage - local	11,500	11,500	1,772	0	9,728	15.4%
26	58150 Staff Lodging Expense	9,000	9,000	-		9,000	0.0%
27	58800 RC Sponsorships	69,720	69,720	15,500		54,220	22.2%
28	Total General Fund	2,807,361	2,807,361	191,035	870,399	1,745,927	6.8%
29				-			
30	Staff & Fringe Benefits	13,974,295	13,974,295	3,184,434		10,789,861	22.8%
31	51001 Allocated Indirect Costs	10,453,605	10,453,605	2,374,314		8,079,291	22.7%
32	54300 SCAG Consultants	14,738,572	14,816,572	501,603	11,623,017	2,691,952	3.4%
33	54350 Professional Services	506,000	506,000	32,628	473,372	0	6.4%
34	55210 Software Support	701,500	701,500	60,709	27,100	613,691	8.7%
35	55220 Hardware Support	100,000	100,000	10,131	0	89,869	10.1%
36	55280 Third Party Contribution	3,294,080	3,294,080	-	126,397	3,167,683	0.0%
38	55620 Resource Materials - subscrib	60,000	60,000	20,705	25,574	13,721	34.5%
39	55810 Public Notices	33,000	33,000	1,316	1,432	30,253	4.0%
40	55830 Conference - Registration	10,000	10,000	3,130	2,725	4,146	31.3%
41	55920 Other Meeting Expense	86,698	86,698	-		86,698	0.0%
42	55930 Miscellaneous - other	155,402	155,402	272	18,275	136,855	0.2%
43	56100 Printing	34,500	34,500	441	117	33,941	1.3%
44	58100 Travel	260,332	260,332	21,395	0	238,937	8.2%
45	Total OWP	44,407,984	44,485,984	6,211,079	12,298,009	25,976,897	14.0%
46				-			
47	Comprehensive Budget	47,215,345	47,293,345	6,402,114	13,168,407	27,722,824	13.5%

Office of the CFO

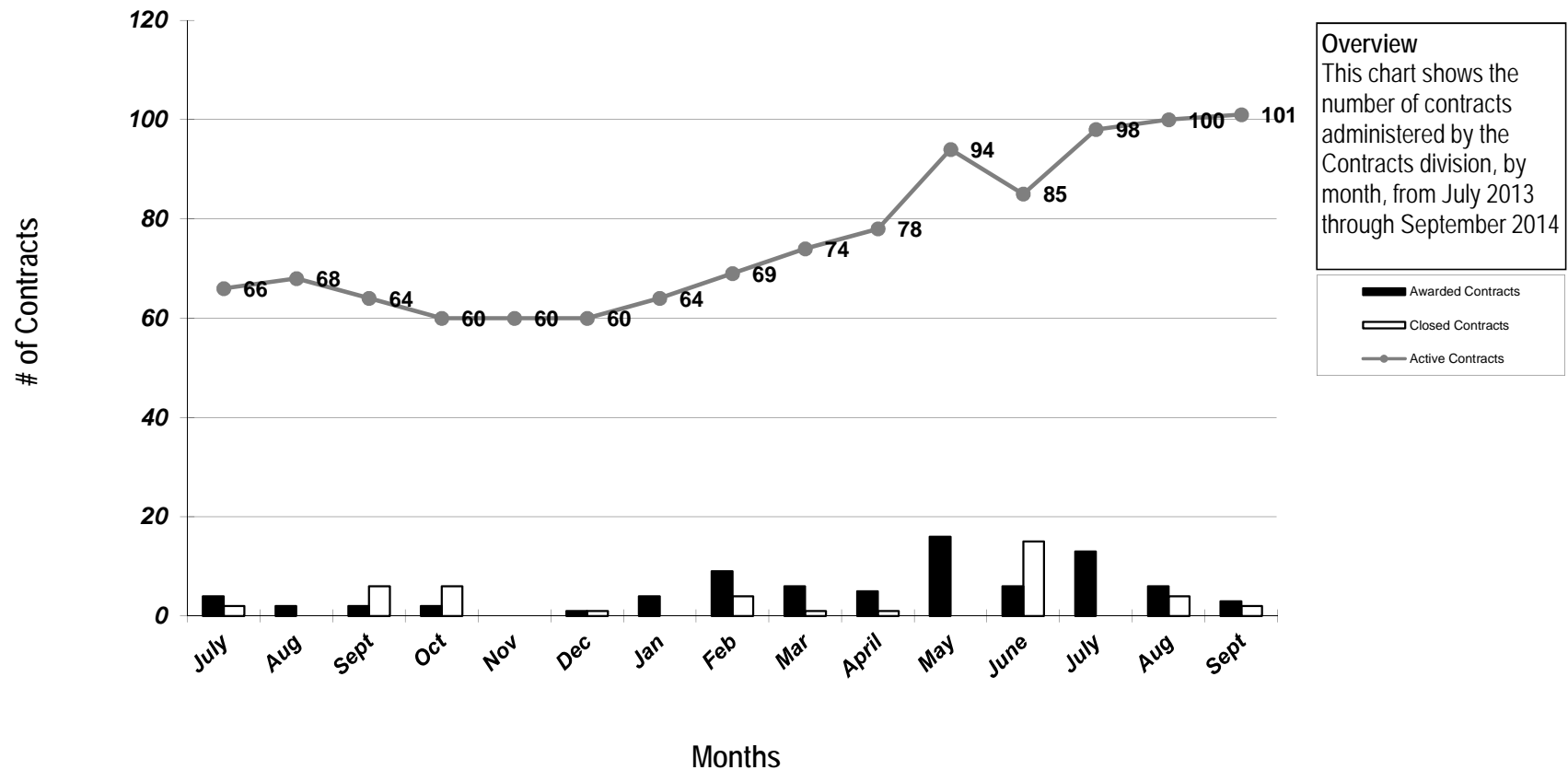
Fiscal Year-To-Date Expenditure Report Through September 30, 2014



INDIRECT COST EXPENDITURES

			Adopted Budget	Amended Budget	Expenditures	Commitments	Budget Balance	% Budget Spent
1	50010	Regular Staff	3,563,315	3,562,610	782,213		2,780,397	22.0%
2	50013	Regular OT	-	705	705		0	100.0%
3	50014	Interns, Temps, Annuity	153,000	153,000	11,305		141,695	7.4%
4	51000	Allocated Fringe Benefits	2,593,861	2,593,861	627,203		1,966,658	24.2%
5	54300	SCAG Consultants	1,370,481	1,370,481	102,042	1,268,439	0	7.4%
6	54340	Legal	200,000	197,500	-	167,696	29,804	0.0%
7	55210	Software Support	527,279	527,279	306,689	191,352	29,237	58.2%
8	55220	Hardware Supp	113,780	101,342	49,017	30,044	22,281	48.4%
9	55230	Computer Maintenance	-	12,438	12,438	0	0	100.0%
10	55240	Repair & Maint Non-IT	20,000	20,000	10,172	9,828	0	50.9%
11	55400	Office Rent 818 Offices	1,582,877	1,582,877	379,957	1,134,758	68,161	24.0%
12	55410	Office Rent Satellite	171,490	171,490	46,236	125,254	0	27.0%
13	55420	Equip Leases	108,979	108,979	18,364	90,615	0	16.9%
14	55430	Equip Repairs & Maint	19,000	19,000	1,713	17,287	0	9.0%
15	55440	Insurance	170,722	170,722	16,865	1,216	186,371	9.9%
16	55441	Payroll / Bank Fees	10,000	10,000	3,906		6,094	39.1%
17	55460	Mater & Equip < \$5,000	35,000	35,000	29,412	5,588	0	84.0%
18	55510	Office Supplies	80,000	80,000	18,640	61,360	0	23.3%
19	55520	Graphic Supplies	1,500	1,500	224	871	405	14.9%
20	55530	Telephone	189,800	189,800	22,114	160,896	6,790	11.7%
21	55540	Postage	5,000	10,002	10,002	0	0	100.0%
22	55550	Delivery Services	5,000	2,498	962	1,536	0	38.5%
23	55600	SCAG Memberships	104,313	104,313	59,699	11,250	33,364	57.2%
24	55620	Res Mats/Subscrip	32,800	32,800	23,811	5,607	3,382	72.6%
25	55700	Deprec - Furn & Fixt	5,738	5,738	-		5,738	0.0%
26	55710	Deprec - Computer Equipment	69,136	69,136	-		69,136	0.0%
27	55720	Amortiz - Leasehold Improvements	7,786	7,786	-		7,786	0.0%
28	55800	Recruitment Notices	18,500	18,500	6,765	11,735	0	36.6%
29	55801	Recruitment - other	22,000	22,000	822	21,178	0	3.7%
30	55810	Public Notices	5,000	5,000	-	5,000	0	0.0%
31	55820	Training	80,000	80,000	26,454	53,546	0	33.1%
32	55830	Conference/workshops	23,850	23,850	270	0	23,580	1.1%
33	55920	Other Mtg Exp	2,200	2,200	-	480	1,720	0.0%
34	55930	Miscellaneous - other	8,500	8,500	316	8,184	0	3.7%
35	55950	Temp Help	38,500	38,500	7,360	31,140	0	19.1%
36	56100	Printing	17,600	17,600	621	15,711	1,268	3.5%
37	58100	Travel - Outside	109,050	109,050	5,049		104,001	4.6%
38	58101	Travel - Local	11,800	11,800	1,115		10,686	9.4%
39	58110	Mileage - Local	45,825	45,825	5,511		40,314	12.0%
40	58450	Fleet Vehicle	800	800	244	0	556	30.4%
41		Total Indirect Cost	11,524,482	11,524,482	2,588,215	3,430,572	5,505,694	22.5%

SCAG Contracts (Year to Date)



Summary

The chart shows that the Contract Division is managing 101 active consultant contracts. Fifty-four of these are Cost Plus Fixed Fee contracts, 17 are fixed price contracts, and the remaining 30 are Time and Materials (T&M) contracts (includes Labor Hour and Retainer contracts). The Contracts Department anticipates issuing approximately 50 contracts during FY 2014-15. Note, due to the nature of SCAG's work, the majority of SCAG contracts have a one year term and end on June 30th each year.



GROUPS	Authorized Positions	Filled Positions	Vacant Positions
Executive	5	3	2
Legal	3	2	1
Strategy, Policy & Public Affairs	21	16	5
Administration	40	37	3
Planning & Programs	67	65	2
Total	136	123	13

OTHER POSITIONS

GROUPS	Limited Term Positions	Temp Positions	Agency Temps
Executive			
Legal			
Strategy, Policy & Public Affairs		3	
Administration		3	2
Planning & Programs	1	14	
Total	1	20	2